

## Feature article

## Energy-efficient polymeric gas separation membranes for a sustainable future: A review



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## ABSTRACT

Over the past three decades, polymeric gas separation membranes have become widely used for a variety of industrial gas separations applications. This review presents the fundamental scientific principles underpinning the operation of polymers for gas separations, including the solution-diffusion model and various structure/property relations, describes membrane fabrication technology, describes polymers believed to be used commercially for gas separations, and discusses some challenges associated with membrane materials development. A description of new classes of polymers being considered for gas separations, largely to overcome existing challenges or access applications that are not yet practiced commercially, is also provided. Some classes of polymers discussed in this review that have been the focus of much recent work include thermally rearranged (TR) polymers, polymers of intrinsic microporosity (PIMs), room-temperature ionic liquids (RTILs), perfluoropolymers, and high-performance polyimides.

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## 1. Introduction – history, equations, and terminology

## 1.1. History

The first recorded description of semi-permeable membranes was in 1748 when Jean Antoine (Abbé) Nollet reported that a pig bladder (*i.e.*, a natural membrane) was more permeable to water than to ethanol [1–3]. Later, in 1831, a related observation regarding gas transport through balloons prepared from natural rubber, which is largely *cis*-poly(isoprene), was made by John Kearsley Mitchell [4]. After filling a series of these balloons with hydrogen and letting them rise to the ceiling of his lecture room, the balloons descended from the ceiling over time. Mitchell hypothesized that the hydrogen was somehow passing through the walls of these rubber balloons [4]. Further experiments showed that various gases passed through the same material at

different rates, a critically important concept that foreshadowed the commercial development of polymeric gas separation membranes in the late 1970s [5].

Adolph Fick's laws of diffusion, derived by analogy with Fourier's law of heat conduction and Ohm's law of electrical conduction, provided the fundamental mathematical framework for mass transfer across nonporous membranes [6], and Sir Thomas Graham published a seminal paper in 1866 setting forth the basic principles underpinning the solution-diffusion model, which is understood to govern gas transport in all nonporous polymeric gas separation membranes [7]. In this model, gas is transported through a nonporous polymer film or membrane by dissolving into the face of the membrane exposed to high gas pressure, diffusing through the polymer, and desorbing from the face of the membrane exposed to low pressure [8]. The middle step, diffusion through the polymer, is the rate-limiting step for gas permeation in all polymer membranes today. The rate-limiting step in diffusion is the local scale segmental dynamics of the polymer chains that lead to the opening and closing of transient gaps (*i.e.*, free volume elements) in the polymer; the gas molecules execute Brownian motion (*i.e.*, diffusion) through these free volume elements. Thus, the local segmental motions of polymer chains and the packing of polymers are two critically

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variables important in gating the diffusion of small gas molecules through polymers.

The gas separation membrane market has grown significantly since its beginnings in the 1970s (approximately), and continued growth is expected in the coming years as technology improves and applications expand [9]. Previously, several review articles and monographs have chronicled overall progress in the field as well as specific progress in applications and materials science [5,9–17]. This review focuses on the role of polymer science in current and future materials for gas separation applications. To provide context for the materials science discussion, current applications of polymeric gas separation membranes are discussed as well as potential future applications that may become feasible with improved membrane materials.

## 1.2. Equations and terminology

The equations and terminology discussed below illustrate basic concepts used to describe gas transport in polymers and evaluate membrane material performance. Detailed derivations and discussion of these equations are available elsewhere [5,8,11–13]. Membrane performance is often characterized by gas throughput and separation efficiency and these properties are most commonly expressed by permeability and selectivity coefficients.

### 1.2.1. Permeability coefficient

For a pure gas permeating through a polymer film or membrane, gas permeability,  $P_A$ , is defined as the trans-membrane pressure difference,  $p_2 - p_1$ , and thickness normalized steady-state gas flux,  $N_A$  [18]:

$$P_A = \frac{N_A l}{(p_2 - p_1)} \quad (1)$$

where  $l$  is the membrane thickness,  $p_2$  is the upstream pressure, and  $p_1$  is the downstream pressure. Unlike flux, which depends upon  $l$  and  $\Delta p$ ,  $P_A$  is typically viewed, to a first approximation, as being a material property that is much less dependent than flux on membrane thickness and  $\Delta p$ . For gas mixtures permeating through polymers,  $\Delta p$  is taken to be the partial pressure difference of the component of interest, and for nonideal gases,  $\Delta p$  can be replaced by the fugacity difference across a membrane or film [19].

Typically permeability coefficients are expressed in Barrer, where [13]:

$$1 \text{ Barrer} = \frac{10^{-10} \text{ cm}^3(\text{STP}) \text{ cm}}{\text{cm}^2 \text{ s cmHg}} \quad (2)$$

Each polymer has a different permeability coefficient for each gas, and the faster permeation of some gases relative to others provides the basis for the use of polymers to separation gas mixtures. The range over which permeability can vary in different polymers is enormous. For example, oxygen permeability in poly(acrylonitrile) (PAN), a high barrier polymer, is  $2.8 \times 10^{-4}$  Barrer [20]. At the other end of the scale, a polymer based upon an indane-containing poly(diphenylacetylene) derivative has an oxygen permeability of 18,700 Barrer, which is believed to be the highest oxygen permeability among nonporous polymers [21]. Thus, oxygen permeability values span nearly 8 orders of magnitude in these two examples, and there are polymers, such as dry poly(vinyl alcohol), that are more than an order of magnitude less permeable than PAN [22]. This enormous range of gas permeabilities in polymers illustrates the extraordinary sensitivity of gas permeability to polymer material structure.

Using the solution-diffusion model, the gas permeability coefficient can be written as the product of a gas solubility coefficient,  $S_A$ , and a concentration-averaged, effective diffusion coefficient,  $D_A$  [18]:

$$P_A = D_A S_A \quad (3)$$

The diffusion coefficient is commonly expressed in  $\text{cm}^2/\text{sec}$ , and solubility is often expressed in  $\text{cm}^3(\text{STP})/(\text{cm}^3 \text{ polymer atm})$  or  $\text{cm}^3(\text{STP})/(\text{cm}^3 \text{ polymer cmHg})$ .

Penetrant size has a significant effect on diffusion coefficients, with larger gases generally having lower diffusion coefficients [18]. In polymers of interest for gas separations, gas diffusion coefficients range from approximately  $10^{-4} \text{ cm}^2/\text{s}$  for helium diffusion in poly(1-trimethylsilyl-1-propyne), PTMSP, which is among the most permeable polymers known, to approximately  $3 \times 10^{-9} \text{ cm}^2/\text{s}$  for  $\text{CH}_4$  in polycarbonate [18,23]. Diffusion coefficients are also sensitive to polymer chain flexibility and the free volume in the polymer, which depends on the amount of packing defects, gaps between polymer chains and other structural features that give rise to openings within a polymer large enough to permit penetrant diffusion.

The solubility coefficient in Eq. (3) is defined as the ratio of the concentration of gas in a polymer,  $C$ , to the pressure of gas,  $p$ , contiguous to the polymer [13]:

$$S_A = \frac{C}{p} \quad (4)$$

Solubility depends mainly on gas molecule condensability (as characterized, for example, by gas critical temperature, Lennard-Jones potential well depth, normal boiling point, enthalpy of vaporization, etc.) and, to a lesser extent, on gas–polymer interactions [18]. Polymer morphological features, such as crystallinity and liquid crystallinity, also influence gas solubility in polymers [24–27].

### 1.2.2. Selectivity

A common parameter characterizing the ability of a polymer to separate two gases (e.g., A and B) is the ideal selectivity,  $\alpha_{A/B}$ : [28]

$$\alpha_{A/B} = \frac{P_A}{P_B} \quad (5)$$

By combining Eqs. (3) and (5), permeability selectivity can be written as a product of solubility and diffusivity selectivity:

$$\alpha_{A/B} = \frac{D_A}{D_B} \frac{S_A}{S_B} \quad (6)$$

Like permeability, the ideal selectivity is often treated as a material property of a polymer.

Another measure of the ability of a membrane to separate a particular gas mixture is the separation factor,  $\alpha^*$ , where  $x_i$  is the concentration of gas  $i$  in the feed and  $y_i$  is the concentration of gas  $i$  in the permeate [28]:

$$\alpha_{A/B}^* = \frac{y_A/y_B}{x_A/x_B} \quad (7)$$

This value is less commonly reported in the membrane materials literature because it depends more sensitively on operating conditions (e.g., upstream and downstream pressure and feed gas composition) than  $\alpha_{A/B}$ . Thus, the separation factor is not a material property of the polymer being used as the membrane. However, when the upstream pressure is much greater than the downstream pressure, the separation factor becomes equal to the ideal selectivity. This relationship can be shown by recognizing that the mole

fraction of component A produced in the permeate,  $y_A$ , is related to the flux of A and B as follows:

$$y_A = \frac{N_A}{N_A + N_B} \quad (8)$$

An analogous relation may be written for component B. Substituting Eq. (8) into Eq. (7) and using the definition of permeability in Eq. (1) to solve for flux, the following expression is obtained:

$$\alpha_{A/B}^* = \alpha_{A/B} \frac{p_2 - p_1 \left( \frac{y_A}{x_A} \right)}{p_2 - p_1 \left( \frac{y_B}{x_B} \right)} \quad (9)$$

Therefore, when the upstream pressure,  $p_2$ , is much greater than the downstream pressure, the downstream pressure  $p_1$  (e.g., if  $p_1 = 0$  (i.e. vacuum)),  $p_1$ ,  $\alpha_{A/B}^* = \alpha_{A/B}$ .

### 1.2.3. Fractional free volume

Free volume is among the most important structural variables influencing gas transport properties in polymers. The Cohen–Turnbull model predicts that diffusion coefficients increase strongly as free volume increases [29]. This relationship is shown in Eq. (10) where  $A$  is a constant related to a geometric factor, molecular diameter, and gas kinetic velocity,  $v^*$  is a parameter related to the size of the gas molecule,  $\gamma$  is an overlap factor introduced to prevent double counting of free volume elements, and FFV is the fractional free volume of the polymer [18,29–31].

$$D = A \exp \left( -\frac{\gamma v^*}{FFV} \right) \quad (10)$$

In gas separation polymers, free volume is the void space between polymer chain segments that is available to assist in molecular transport [14]. Free volume can be generated by inefficiencies in polymer chain packing in the solid state and by molecular motion of polymer chain segments, which effectively open gaps in the polymer matrix on a transient basis that allow penetrant molecules to diffuse through the polymer [32].

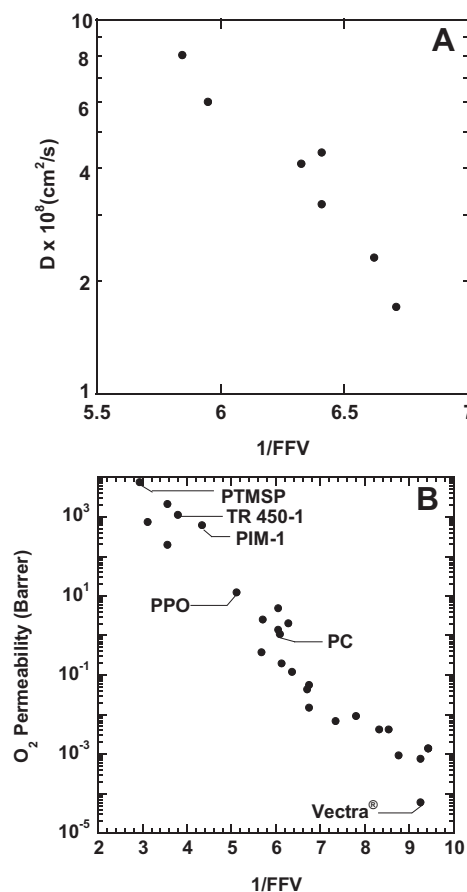
Fractional free volume is calculated as the difference between the experimental specific volume (i.e., reciprocal of polymer density) and the theoretical volume occupied by the polymer chains [33,34]:

$$FFV = \frac{V - V_0}{V} \quad (11)$$

where  $V$  is the measured experimental specific volume of the polymer in  $\text{cm}^3/\text{g}$ , and  $V_0$  is the theoretical occupied volume of the polymer chains in  $\text{cm}^3/\text{g}$ .  $V_0$  is typically estimated using group contribution theory as described by Bondi, where  $V_W$  is the van der Waal's volume of groups comprising the polymer chain Refs. [33–35].

$$V_0 = 1.3 \sum V_W \quad (12)$$

Fig. 1A presents an example of a relationship between fractional free volume and diffusion coefficients in a systematic series of polysulfones of varying chemical structure. Often, there is a good correlation between FFV and diffusion coefficients. Due to uncertainties associated with estimating occupied volume increments with various families of polymers, correlations of FFV with gas diffusion coefficients are often strongest when restricted to polymers with similar backbone structures [36]. Because gas solubility typically depends weakly on free volume, gas permeability



**Fig. 1.** (A) Oxygen diffusion coefficient in polysulfones of varying fractional free volume [30]. (B) Oxygen permeability for many families of polymers including poly(1-trimethylsilyl-1-propyne) (PTMSP), 6F-containing thermally rearranged polymer (TR 450-1), polymer of intrinsic microporosity (PIM-1), polycarbonate (PC), poly(2,6-dimethyl-1,4-phenylene oxide) (PPO), Vectra® (a commercial polyester liquid crystalline polymer), and various polymers from Refs. [38–40].

coefficients often correlate well with FFV, and many studies report strong correlations of permeability with FFV as seen in Fig. 1b [37].

## 2. Membrane fabrication and competing technologies for gas separation processes

### 2.1. Membrane fabrication processes

Today, virtually all gas separation membranes are made by processes based on the concept of phase inversion because it is the only commercially viable way known for making thin (i.e., of the order of 100 nm or less), defect-free membranes at large enough surface areas to be useful for practical applications. The phase inversion process, invented by Loeb and Sourirajan to make cellulose acetate desalination membranes [41], can be used to produce asymmetric membranes with very thin, dense films on a porous substrate and provided a practical route to prepare high flux membranes. This process remains the primary method by which commercial gas separation membranes are prepared and has allowed membranes to be prepared in sufficiently large area to process, for example, from 50 to 700 million standard cubic feet of natural gas per day in some locations [42]. To prepare membranes via phase inversion, a water-insoluble polymer is dissolved in a water miscible, high boiling solvent or mixture of solvents; the resulting solution is often referred to as a polymer dope. This dope

is then cast using a doctor blade onto a porous backing material, for flat sheet, spiral wound membranes, or extruded through a hollow fiber spinneret to prepare hollow fibers [43]. After passing through a short air gap to allow solvent evaporation from the surface of the nascent membrane to begin formation of a thin, dense layer on the surface, the cast or extruded polymer dope is immersed in a non-solvent (usually water) bath. In this bath, solvent exchange and polymer coagulation produce a porous substrate with a thin, dense skin on top of it, in the case of spiral wound membranes, or on the outside of hollow fibers. Often, the polymer dope concentration would be in the range of 30–35 wt%, and small amounts of a water-soluble polymer (e.g., poly(vinyl pyrrolidone) or poly(ethylene oxide) oligomers) could be added to optimize the porous structure [14,15,44,45]. To reduce macrovoid formation, water, alcohols or other additives are included in the original polymer dope, so that the dope is very close to the phase separation boundary before being cast or extruded [15]. For example, polysulfone hollow fibers can be spun from a dope containing 37% total solids (i.e., polymer) dissolved in a mixture of 43 wt% propionic acid and 57 wt% *N*-methyl-2-pyrrolidone or 13 wt% formamide and 87 wt% formylpiperidine [44,45].

An example of a ternary phase diagram for polymer, solvent(s), and a coagulating non-solvent (typically water) is shown in Fig. 2. The path followed by the polymer during phase inversion is labeled “Coagulation path” in this figure. Two-phase separation processes can occur, as noted in the literature [46–48]. Spinodal decomposition will occur if the membrane formation path goes through the critical point or rapidly into the unstable region. Nucleation and growth can occur in the metastable region as well as the unstable region [43]. The initial morphologies resulting from these processes are different [43], and spinodal decomposition yields a more desired intertwined structure. As the phase separation process continues, the initial features of spinodal decomposition can become less recognizable due to structure coalescence. The thermodynamic interpretations of nucleation and growth versus spinodal decomposition and information directly related to membrane formation are available elsewhere [14,15,43,48,49].

Many commercial gas separation systems are based on hollow fibers produced by this phase inversion process [9,45]. During spinning, the bore of the hollow fiber often has a bore fluid to provide a compensating pressure to maintain the hollow interior, coagulate the spin dope, and stabilize the forming fiber; gases such as  $N_2$  and liquids such as  $H_2O$  or aqueous based liquids have been

reported as bore fluids [44,45,52–54]. After the phase inversion process, the resultant membrane is typically stored in water to further remove solvent prior to drying and subsequent post-treatment to caulk any defects in the membrane surface [55,56]. While the common method used to prepare hollow fibers produces a dense film on the outer surface, a variation has been noted whereby a coagulating medium is added to the bore of the hollow fiber extrudate allowing dense film formation on the inner wall [14]. However, this method has the drawback that the effective surface area of membrane per unit length of fiber is reduced, since the bore diameter of the hollow fiber is necessarily less than the outer diameter. An example of an asymmetric hollow fiber and its dense skin are illustrated in Fig. 3 for a hollow fiber prepared via the conventional non-solvent phase inversion procedure.

A major concern for membranes prepared via phase inversion is the elimination of pinholes in the dense layer. It is difficult to produce pinhole-free membranes, so repair techniques usually are employed to seal any defects to achieve effective dense layer thicknesses of 100 nm or less. Henis and Tripodi [55,56] reported that pinhole defects in asymmetric membranes could be repaired by coating a slightly defective phase inversion membrane with a thin layer of a highly permeable (but relatively non-selective) polymer such as silicone rubber (i.e., poly(dimethylsiloxane)). This so-called “caulking” step largely eliminates non-selective pore flow through defects with little change in the inherent flux of the dense layer.

Typically, flat sheet membranes would be used to prepare spiral wound membrane modules, and hollow fibers would be assembled into a hollow fiber module [9]. These two configurations of membranes are shown schematically in Fig. 4. The objective of putting membranes into such configurations is to maximize the amount of membrane surface area that can be accommodated in a given volume. Higher surface to volume ratio assemblies of membranes reduce the cost of pressure vessels, etc. required to use such membranes.

A typical hollow fiber bundle contains on the order of  $10^5$  hollow fibers which are tightly packed (packing fractions on the order of 50% are common) with both ends embedded in a thermosetting polymeric epoxy [57]. A hollow fiber bundle would then be housed in a polymeric or metal pressure vessel, depending on the pressure that the system was expected to encounter during operation. A high pressure feed gas can be introduced into the bore side or the shell side of a hollow fiber module, depending on the application. Generally, to maximize the available driving force for mass transfer, hollow fiber modules are designed to operate as close to counter-current flow as possible. However, due to flow maldistribution in the fiber bundles or other factors, gas flow through the shell is typically not in perfect countercurrent flow [58–63].

For applications such as air dehydration, where the objective is to remove a highly permeable species (e.g., water) present at relatively low mole fractions in the feed, a sweep gas on the permeate side of the membrane is utilized to facilitate transport of water across the membrane, thereby increasing the driving force for permeation of water from the feed into the permeate stream [57,58]. In the air dehydration case, the permeate sweep is often generated by flowing a small amount of dry retentate product gas through the permeate side of the membrane or allowing some of the feed gas to leak through defects in the fibers (brought about by not caulking the fibers or portions of the fibers) into the permeate [57,64]. Such approaches are routinely used in gas separation modules for dehydration of air [64,65]. More recently, process designs to remove  $CO_2$  from flue gas for carbon capture applications rely on sweeping the permeate stream with air to reduce the partial pressure of  $CO_2$  in the permeate stream, thereby increasing the driving force for  $CO_2$  transport across the membrane [66].

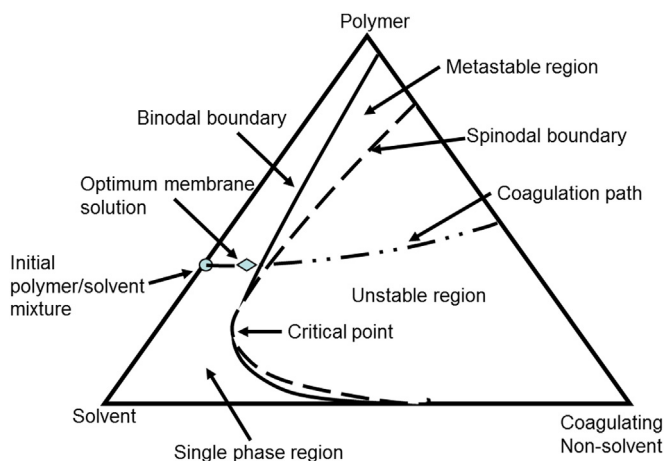


Fig. 2. Qualitative illustration of a phase diagram for a polymer/solvent/non-solvent system. The path followed during formation of a phase inversion membrane is illustrated by the line labeled “Coagulation path” [43,50,51].



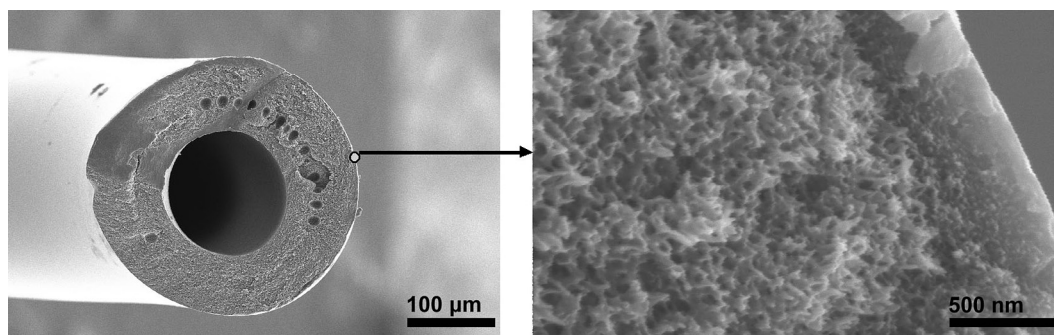


Fig. 3. Typical hollow fiber morphology, shown for polysulfone, produced by the phase inversion process. Reprinted from Ref. [48] with permission from Elsevier.

The spiral wound membrane module configuration involves alternating layers of flat sheet asymmetric membranes with porous spacers between the membrane sheets [14]. The permeate and feed streams travel through alternate layers in a spiral wound module. The hollow fiber module is much more common than spiral wound systems for gas separation due to cost of production [9], higher membrane surface area to module volume and generally easier fabrication methods [67]. With water purification, and specifically reverse osmosis applications, the preferred configuration is spiral wound due to the large pressure drop of liquids in small bore hollow fibers and to help reduce the feed pretreatment needed to prevent fouling of hollow fibers [14]. Because gas viscosity is orders of magnitude lower than liquid viscosity, pressure drop in hollow fibers for gas separation is much less than it would be if liquid were flowing through the bore of the fibers as could be the case in, for example, desalination of water [14]. Specific process designs and details of modular construction are reported elsewhere [9,67–70].

## 2.2. Competing technologies/membrane competitiveness

Presently, gas separation using polymeric membranes competes with several other technologies, and often gas separation membranes are not the dominant technology for a given gas separation application. For example, cryogenic distillation, pressure (and vacuum) swing adsorption, and chemical absorption processes dominate commercial gas separation processes [71].

### 2.2.1. Cryogenic distillation

Cryogenic distillation is no different than conventional distillation except that the temperatures are considerably lower [72]. Plate and tray (or packed column) distillation columns are employed at cryogenic temperatures. As in conventional distillation, temperature differences from the bottom to the top of the column allow for separation. In the case of air separation, large quantities of air are compressed, purified, cooled and then liquefied before being separated in distillation columns [72,73]. Water, CO<sub>2</sub> and trace hydrocarbons are removed prior to distillation using molecular sieves. Nitrogen is taken from the top of the column, due to its lower boiling point relative to oxygen, and oxygen and argon are taken from the bottom of the column [74]. Argon can thus be collected from this operation and further purified to high purity argon. Several distillation stages are required to produce high purity oxygen, nitrogen and argon. The other noble gases (neon, xenon, krypton) can also be collected from this process and further purified [75]. Cryogenic distillation can also be employed for separation of deuterium and tritium from hydrogen [76]. In addition, cryogenic separation (not specifically involving distillation) can be used to separate hydrogen from much more readily condensable hydrocarbons [77]. For obvious reasons, cryogenic distillation is the method of choice for the large liquid oxygen and liquid nitrogen markets as well as applications requiring high purity [72–74].

### 2.2.2. Pressure swing adsorption (PSA)

Pressure swing adsorption is another common gas separation process widely practiced commercially [78]. This technique

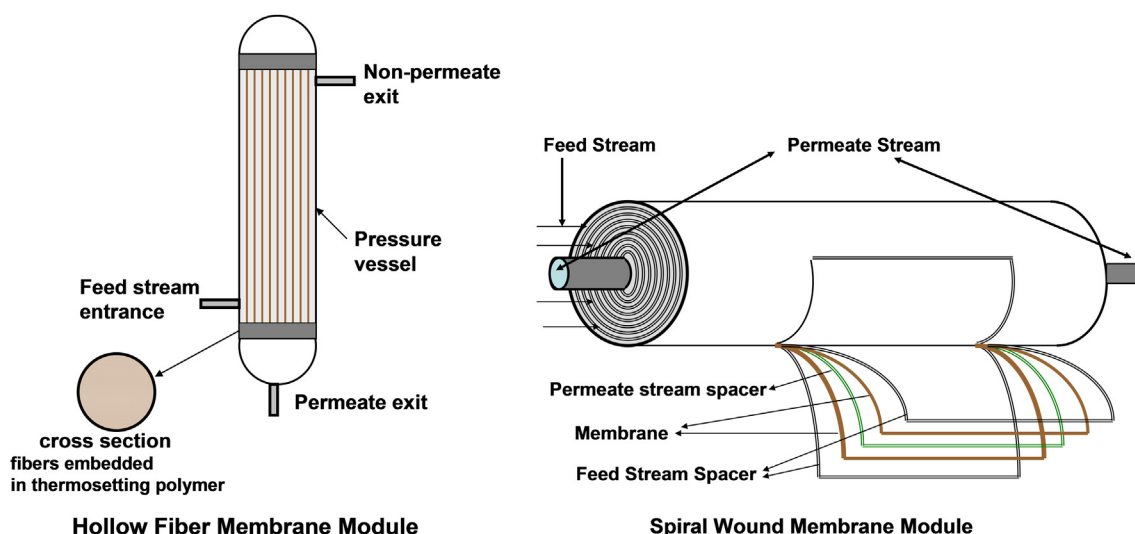


Fig. 4. Modular constructions employed for gas separation processes. Reprinted from Ref. [48] with permission of Elsevier.

involves pressurizing a gas mixture in the presence of specific adsorbents such as zeolites, silica, alumina and activated carbon [79]. PSA can operate at ambient conditions thus the energy costs associated with heating or cooling are minimized. These adsorbents are porous structures with extremely high internal surface areas and can adsorb one gas preferentially to the other [79]. Molecular sieves (such as carbon molecular sieves and certain zeolites) can prevent a larger diameter gas from entering the pores and can thus provide separation based on gas molecule size [80,81]. Once pressurization is conducted (and time elapsed to reach a condition close to equilibrium), the system is depressurized, allowing the lower sorbing gas to leave the adsorption bed first. This depressurization step leaves the chamber enriched in the gas with the higher sorption value on the adsorbent [82]. Usually, this process involves at least two beds because one is being pressurized (i.e., is being used to adsorb gases) and the other is being depressurized (i.e., regenerated) to allow continuous operation [83]. If operated near ambient pressure for one cycle of the process and vacuum for the other cycle, the process is referred to as vacuum swing adsorption (VSA) [82].

The removal of CO<sub>2</sub> from the steam reforming reaction of hydrocarbons to produce hydrogen often employs PSA [84]. Hydrogen can be separated from both CO<sub>2</sub> and CO produced in this process, and PSA is the primary process used for hydrogen purification [84]. Refineries use PSA for hydrotreating and hydrocracking processes as well as the removal of H<sub>2</sub>S from hydrogen [85]. PSA is also used to separate carbon dioxide from methane for natural gas purification [86]. Nitrogen generation also uses PSA to produce high purity nitrogen in the range of 99.5% or higher where membrane systems would require multistage operations and cryogenic processes may be too expensive [82,87,88]. Separation of *n*-paraffins from isoparaffins has also been reported [89]. Oxygen generation for medical oxygen is another common use for pressure swing adsorption since volumes required are low and small units employing conventional electrical power sources are desired [73,90]. Pressure swing adsorption processes generally offer favorable economics in the mid volume range versus cryogenic distillation (high volume) and membrane separation (low volume) [87,88,91].

### 2.2.3. Chemical absorption processes

Presently, the primary process to remove CO<sub>2</sub> from natural gas and power plant flue gas involves a monoethanolamine chemical absorbent-based process [92,93]. When an amine is reacted with carbon dioxide, a nitrogen substituted carbamic acid is formed [94]. This is a rather unstable product which will decompose to the original amine and carbon dioxide at modest temperatures (>100 °C) [95]. Thus amines can be used to capture CO<sub>2</sub> at ambient temperatures and the amines can be regenerated and CO<sub>2</sub> collected as a gas at higher temperatures [95]. This approach provides a facile method to separate CO<sub>2</sub> from gas mixtures and collect CO<sub>2</sub> at high purity [93]. This process is widely used and has been proposed for the collection of CO<sub>2</sub> for sequestration from power plant flue gas [96]. While monoethanolamine is one of the preferred amines used in this process, other similar amines such as diethanolamine, methyl diethanolamine and triethanolamine, which can also remove H<sub>2</sub>S from natural gas streams, have been employed [95].

As noted later, membrane separation processes have favorably competed with conventional processes for a number of reasons. First, membrane processes are generally more energy efficient, offer simplicity of operation and are favorable for smaller scale operations where gas purity is not critical [9,10]. Drawbacks of membrane gas separation include limited ability to achieve high purity separation, lack of feasibility in large-scale operation and sometimes higher capital cost [9,14]. In spite of what might be

considered a “fragile” system based on ~100 nm dense film thicknesses, membranes have shown durability and reliability during long-term use even under continuous use with high pressure drop (approaching 1000 psi (69 bar) in specific cases) across the hollow fiber or flat membrane [14]. Cases exist where membrane processes can be combined with more conventional gas separation processes described above to optimize specific separations [97]. A detailed comparison of membrane technology versus conventional gas separation processes has been reported by Prasad et al. [98].

The separation of air to produce ~98% N<sub>2</sub> for inert atmosphere blanketing applications for food preservation and combustible fuel storage is an area where membrane gas separation enjoys the advantage of smaller scale economics, lower weight and space as well as lower energy requirements relative to the more conventional gas separation technologies described above [87,99]. Compact units for delivering dry nitrogen for laboratory use favor membrane technology where cylinder usage may not suffice or could be inconvenient [98]. Other examples of commercial membrane applications are discussed later.

## 3. Challenges in membrane science and limits of technology

### 3.1. Permeability/selectivity tradeoff (the upper bound)

The polymer used to make a membrane is crucial to separation performance. Thus, structure/property studies related to membrane separation have been a significant area of research since the early 1980s [5,11,12,100,101]. Several key factors in membrane transport performance include flux, permeability, and selectivity.

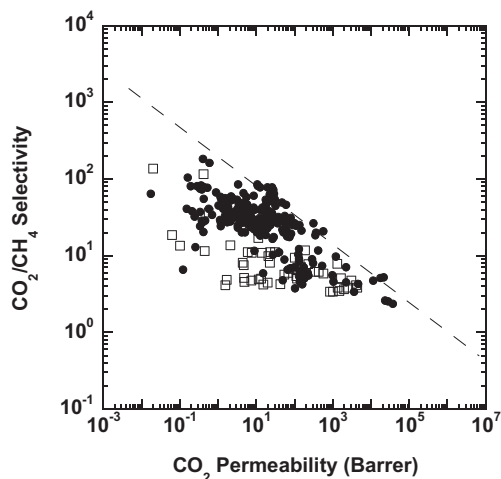
The flux is governed by the choice of the polymer (permeability) and its effective thickness. The selectivity is also determined by the choice of polymer and the ability to achieve “pinhole-free” membranes. As thickness is a process fabrication parameter, the permeability and selectivity of the polymer are key material properties for polymer studies.

A considerable number of structure–property studies have identified polymer structural components that yield high permeability, and many of these studies have defined structural characteristics desirable for gas separation [100,101]. Specifically, polymers offering the best combinations of  $\alpha_{ij}$  and  $P_i$  are generally glassy and have rigid structures that exhibit poor chain packing [101]. In essence, these polymers offer the size distribution of free volume elements required for approaching molecular sieving characteristics.

Since the advent of commercial membrane gas separation systems, structure–property data on polymeric membranes has significantly increased in the literature. It became apparent that a balance (tradeoff relationship) existed between selectivity and permeability [102–105]. A concept emerged in the literature called the “upper bound” where log–log plots of selectivity versus permeability of the more permeable gas demonstrated that virtually all the data points were below a well-defined line [100,106]. An example of the upper bound relationship for CO<sub>2</sub>/CH<sub>4</sub> is shown in Fig. 5. This relationship was found to be valid for gas pairs chosen from the common gases of He, H<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>, CO<sub>2</sub>, and CH<sub>4</sub>. The “upper bound” line has the following form [100,101]:

$$P_i = k\alpha_{ij}^n \quad (13)$$

where  $P_i$  is the permeability of the more permeable gas,  $n$  is the slope of the upper bound line, and  $k$  is a constant for a specific gas pair termed the front factor. The value of the upper bound slope was shown to correlate with kinetic diameters determined from zeolite data, which gave a better fit than other gas diameter data in



**Fig. 5.** Experimental pure gas data for CO<sub>2</sub>/CH<sub>4</sub> separation demonstrating an empirical upper bound for glassy (●) and rubbery (□) polymers from 1991. Adapted from Ref. [100]; copyright Elsevier.

the literature [100,107]. An empirical analysis demonstrated a linear relationship between  $1/n$  and the difference in the gas diameters ( $d_j - d_i$ ) [100].

The upper bound analysis is based on homogeneous membranes utilizing data from studies where the permeability and selectivity data were determined on the same films using the same measurement methods. Data from different studies or different film preparation methods would not be accurate enough to provide reliable data for the analysis. Surface modified films, laminates of different films, phase separated polymer blends, polymers containing particulates (such as zeolites) and phase separated block copolymers would not be relevant for this analysis as specific combinations could be fabricated whereby the upper bound can be surpassed based on heterogeneous membrane models [108]. Specifically, a film laminate of a low permeability polymer with a high permeability polymer (both comprising values on or near the upper bound relationship) can yield laminate film values well above the upper bound based on the series resistance model.

The upper bound relationship is an empirical correlation based on experimental data, but a theoretical analysis by Freeman [109] yielded good agreement with the observed empirical results. This theory allowed for the prediction of both the upper bound slope and the front factor. Freeman identified the upper bound slope predicted from activation energy theory as:

$$\lambda_{A/B} = -\frac{1}{n} = \left(\frac{d_B}{d_A}\right)^2 - 1 = \left(\frac{d_B + d_A}{d_A^2}\right) (d_B - d_A) \quad (14)$$

The value of  $(d_B + d_A)/d_A^2$  has modest variation compared to  $d_B - d_A$  and, therefore, gave reasonable agreement between theory and experimental observations. The value of the front factor,  $k$ , required a more complex analysis, yielding the following relationship:

$$\beta_{A/B} = k^{-1/n} = \frac{S_A}{S_B} S_A^{\lambda_{A/B}} \exp \left\{ -\lambda_{A/B} \left[ b - f \left( \frac{1-a}{RT} \right) \right] \right\} \quad (15)$$

where  $S_A$  and  $S_B$  represent the gas solubilities. The linear free energy relationship between the activation energy of diffusion,  $E_{di}$  and  $D_{oi}$  [109–111]:

$$\ln D_{oi} = a \frac{E_{di}}{RT} - b \quad (16)$$

was employed to determine values of  $a$  and  $b$ , where  $a$  is 0.64 and  $b$  has values of 9.2 and 11.5 for rubbery and glassy polymers, respectively [35]. The parameter,  $f$ , was determined from the following expression relating activation energy of diffusion with the diameter of the penetrant molecule [109,112]:

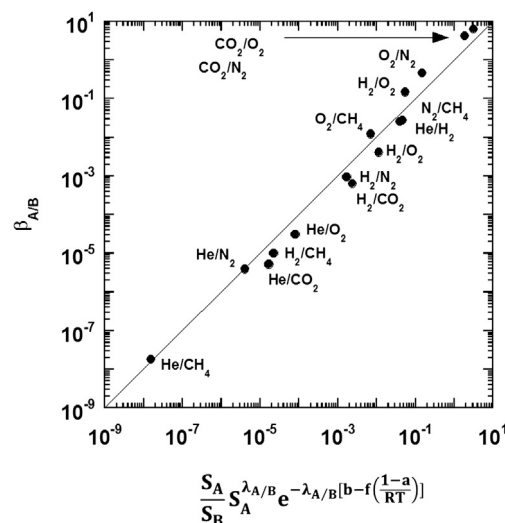
$$E_{di} = cd_A^2 - f \quad (17)$$

Values of  $c$  and  $f$  are adjustable and relate to a specific polymer. Freeman observed the best match between the empirical upper bound lines and the theory was attained with  $f = 12,600$  cal/mole [109]. The solubility constant for gases may be correlated with the gas critical temperature,  $T_c$ , boiling point,  $T_b$ , or Lennard-Jones temperature ( $\epsilon/k$ ) by the following equations [35]:

$$\begin{aligned} \ln S_A &= m + 0.025T_b \\ \ln S_A &= x + 0.016T_c \\ \ln S_A &= y + 0.023(\epsilon_A/k) \end{aligned} \quad (18)$$

where  $m$ ,  $x$  and  $y$  have unique values for each polymer. These relationships appear to work well for a wide variety of polymers (specifically aliphatic and aromatic polymers), except for the polymer class of perfluorinated polymers where the slope values are different [101]. For this analysis, Freeman chose the Lennard-Jones solubility relationship with  $y = -9.84 \text{ cm}^3(\text{STP})/(\text{cm}^3 \text{ polymer cmHg})$  [109]. The comparison of the empirical upper bound results with the predicted results are shown in Fig. 6 for comparison of the front factor  $k$ .

The upper bound observation for gas separation has been also proposed for other transport processes including fuel cell membranes and water desalination using similar log–log plots [114–117]. For fuel cell membranes, an empirical upper bound was observed with experimental data plotted as proton conductivity versus water sorption for proton exchange membranes. For water desalination, experimental water/salt selectivity versus water permeability was plotted and compared with theoretical considerations.



**Fig. 6.** Comparison of the front factor  $k$  from the experimental data with the prediction values. The parameter  $f$  was set to 12,600 cal/mol. The units of  $\beta_{A/B}$  are  $(\text{cc}(\text{STP})\text{-cm}/\text{cm}^2\text{-sec-cmHg})^{-1/n}$  ( $\beta_{A/B} = k^{-1/n}$ ) (note  $i = A$  and  $j = B$  relative to the discussion from Ref. [109]; Adapted from Ref. [113]; Copyright Elsevier).

As more data on polymer gas separation characteristics beyond what was utilized in the 1991 analysis became available, a revised compilation was published [101]. As would be expected, some shifts in the upper bound resulted. However, most of these shifts were minor and involved changes in the front factor while the upper bound slope values remained virtually unchanged. Significant shifts in the front factor are primarily due to recent data on perfluorinated polymers that did not exist in 1991. The solubility relationship for perfluorinated polymers for gases is different than that for aliphatic and aromatic polymers as discussed above [118]. The gas pairs where significant shifts were noted for perfluorinated polymers primarily involved helium as the fast gas. Without the inclusion of the perfluorinated polymer data, the upper bound relationships noted in 1991 showed minor changes for all gas pairs of interest. The results of the recent data on O<sub>2</sub>/N<sub>2</sub> separation compared with the initial 1991 publication are illustrated in Fig. 7 showing a minor shift in the empirical upper bound resulting from intensive optimization of structure–property relationships. An illustration of the deviation of perfluorinated polymer data, involving He as the fast gas, from data obtained with other polymeric structures is given in Fig. 8. This figure illustrates that perfluorinated polymers exhibit a unique upper bound relationship relative to their aliphatic and aromatic counterparts.

The permeability database from the recent upper bound paper [101] was also used to correlate gas permeability data in a different format. The data show that as the permeability of one gas (from the list of common gases) increases, the permeability for other gases also increases [113]. This result is a consequence of the diffusion coefficient of the gases being related to the free volume of the polymer. The solubility ratio of the gases is also predicted to be in a tight range for the myriad of polymers available [100]. The database covers over ten orders of magnitude in permeability values that form a linear log–log relationship for all gas pairs (chosen from He, H<sub>2</sub>, O<sub>2</sub>, N<sub>2</sub>, CO<sub>2</sub> and CH<sub>4</sub>). In this correlation, log  $P_i$  is plotted against log  $P_j$  yielding a linear relationship for all gas pairs over the experimental permeability range. The value of  $i$  and  $j$  are chosen such that  $n > 1$  in the relationship:

$$P_j = k_c P_i^n \quad (19)$$

A representation of the above analysis is shown in Fig. 9 for the gas pair CO<sub>2</sub>/N<sub>2</sub>. Alentiev and Yampolskii reported similar correlations [119].

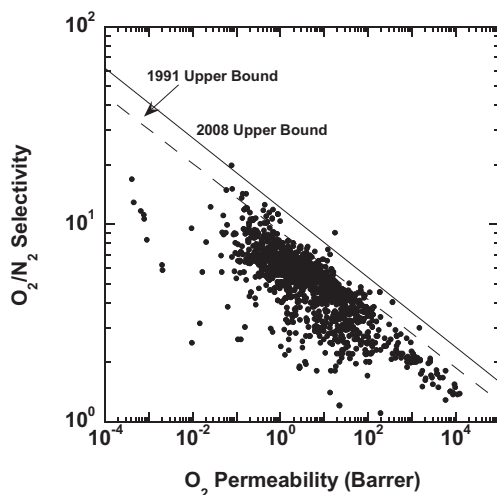


Fig. 7. The upper bound correlation for O<sub>2</sub>/N<sub>2</sub> separation. Adapted from Ref. [101]; copyright Elsevier.

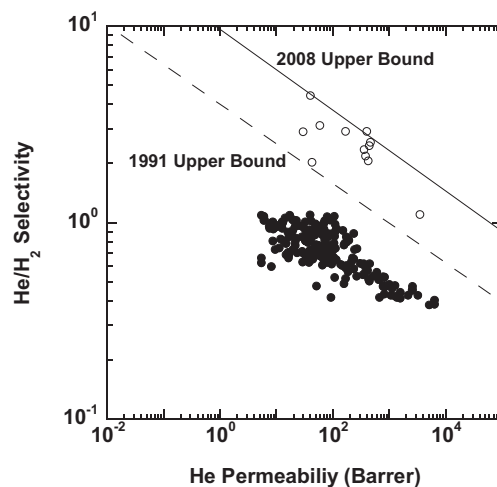


Fig. 8. The upper bound correlation for He/H<sub>2</sub> membrane separation. Adapted from Ref. [101]; copyright Elsevier. Unfilled circles represent perfluoropolymers and filled circles represent other polymers.

The value of  $k_c$  in Eq. (19) is correlated with the Freeman theory developed for the upper bound relationship and gives an excellent fit of the experimental data with theoretical predictions. This analysis provides strong additional verification of the validity of the theory and provides a means for determination of kinetic diameters for the common gases of interest that represent an improvement over the values employed previously based on the zeolite based data of Breck [107]. These updated gas diameter values are recorded in Table 1 along with the kinetic diameter values from Breck for comparison.

The upper bound relationship is based on data in the temperature range of 25–35 °C. In a recent publication [120], it was noted that the upper bound shifts with temperature and the Freeman theory was utilized to predict the shift for a number of gas pairs of interest.

Group contribution methods have been applied to ascertain the specific structural features of aromatic polymers that lead to optimizing permeability and separation. Two approaches from separate groups were published by Park and Paul [121] and by Robeson et al. in a similar time frame [122,123]. While both approaches superficially appeared to be quite different, close observation show they

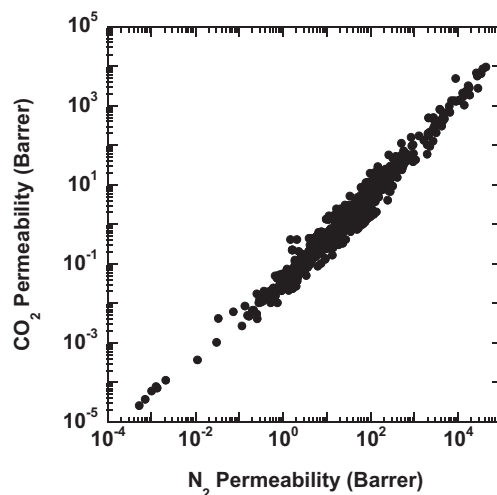


Fig. 9. Permeability correlation for CO<sub>2</sub>/N<sub>2</sub>. Reprinted with permission from Ref. [113]; copyright Elsevier.



**Table 1**  
Breck kinetic diameter and correlation diameters for common gases.

Gas	Breck kinetic diameter, Å [107]	Correlation diameter, Å [113]
He	2.6	2.644
H <sub>2</sub>	2.89	2.875
CO <sub>2</sub>	3.3	3.325
O <sub>2</sub>	3.46	3.347
N <sub>2</sub>	3.64	3.568
CH <sub>4</sub>	3.8	3.817

were actually quite similar and allowed for predicting both permeability and selectivity of aromatic polymers with good accuracy. The resulting group contribution predictions allowed for a quantitative determination of the effect of many structural variables including linking groups, *iso* versus *para* substitution, aromatic group substitutions, alkyl versus halide substitution and symmetry around the main chain. A much larger (and unpublished) analysis was employed in the industrial laboratory approach [122,123] to circumvent the synthesis of large numbers of polymers for experimental analysis.

### 3.2. Physical aging

While the permeability/selectivity tradeoff is a widely recognized challenge, there are other significant material challenges, such as physical aging, that affect a polymer's industrial viability. Many polymers used in gas separations are glassy materials [15,124]. Glassy polymers are nonequilibrium materials having excess free volume due to kinetic constraints on polymer segmental motion that prevent such materials from coming completely to equilibrium properties (e.g., specific volume) once they are below their glass transition temperature. However, even in the kinetically constrained glassy state, polymers undergo at least local scale segmental motions, and these motions act to gradually increase the density of the polymer (and, therefore, reduce its free volume) toward the thermodynamic equilibrium value [125]. Physical aging slows over time for two reasons: (1) as the excess free volume gradually decreases the driving force for physical aging is diminished, and (2) as free volume is reduced, polymer chain mobility decreases, which decreases segmental motions available to assist in reorganizing the polymer chains. Physical aging reduces gas permeability and alters other physical properties of polymers (e.g., specific volume, enthalpy, entropy, etc.) [126–133]. The observed decrease in permeability, usually accompanied by an increase in selectivity, is seen as a reduction in membrane flux over time. Recently, it has become widely recognized that physical aging also depends on the thickness of the polymer under study, particularly when the thickness becomes of the order of less than 1 micron. Gas separation membranes are often believed to be on the order of approximately 0.1  $\mu\text{m}$  thick, making the effects of thickness on aging a relevant field of study [127].

Eq. (20) presents the most common framework used to describe physical aging [125,127]

$$\frac{dV}{dt} = \frac{-(V - V_{\infty})}{\tau} \quad (20)$$

where the rate of change in the specific volume,  $V$ , of a polymer with time depends on the departure of the polymer's specific volume from its equilibrium value,  $V_{\infty}$ , and the characteristic timescale for relaxation of the specific volume toward equilibrium,  $\tau$ . The characteristic relaxation time is related to the mobility of the polymer chains, and it is typically taken to be a function of the specific volume of the polymer and temperature [127]. Additionally, the dependence of physical aging on sample thickness is

typically accounted for by allowing  $\tau$  to vary with thickness, suggesting that molecular mobility of polymer chains near free interfaces may be greater, at least initially following the beginning of an aging experiment, than that of polymer chains in bulk polymer [127]. The fractional free volume is directly related to a polymer's specific volume, as discussed in Section 1. Therefore, as a polymer ages and fractional free volume decreases, gas permeability also decreases, albeit at slower and slower rates as time goes on, due to the self-retarding nature of physical aging. Because the losses in permeability and densification due to physical aging come from relaxation of the polymer matrix, they are thermally reversible, so losses in permeability (i.e., increases in density) can be restored by heating the polymer above its  $T_g$  [134].

Because of the relationship between free volume and physical aging, the aging of high free volume polymers has been a point of interest in the literature. In particular, the aging of PTMSP, one of the most permeable polymers known, has been widely studied [135–140]. Many of these studies have documented a rapid loss in gas permeability as a function of time, but the permeability of PTMSP films is also affected by contaminants, such as vacuum pump oil, that can lead to discrepancies in these measurements. In a study accounting for this contamination, PTMSP films with thicknesses of approximately 100  $\mu\text{m}$  still showed significant physical aging [137]. Permeabilities of CH<sub>4</sub>, O<sub>2</sub>, and N<sub>2</sub> decreased by more than 20% over roughly 200 days. These films were first pre-conditioned in methanol, increasing the initial free volume, which increases the driving force for physical aging [137]. In contrast, Pfromm and Dorkenoo found no loss in permeability in an 85  $\mu\text{m}$  PTMSP film that was not pretreated with methanol; however, thin films with thicknesses of 1 and 3  $\mu\text{m}$  showed 76% and 38% losses in N<sub>2</sub> permeability, respectively [140]. These results show the complexity of physical aging and the importance of membrane preparation conditions in physical aging studies.

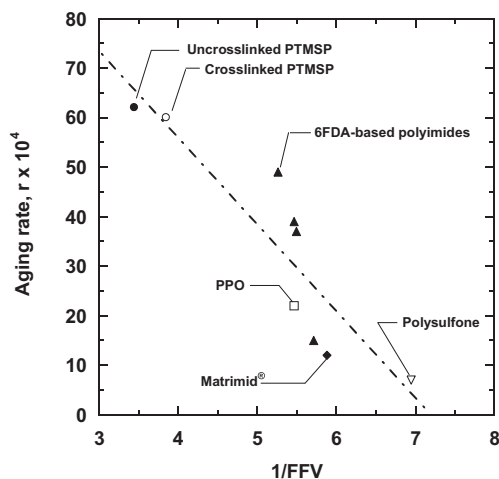
However, physical aging is not restricted to only high free volume glassy polymers; it occurs in any glassy material. For example, thin films of glassy polyimides, such as 6FDA-DAM, underwent an order of magnitude decrease in permeability over 1000 h [131]. The rate of physical aging is often characterized by a parameter,  $r$ , which is defined as follows [137]:

$$r = -\left(\frac{d \ln V}{d \ln t}\right)_{P,T} \quad (21)$$

In Eq. (21), the higher the value of  $r$ , the more rapid the physical aging in a material. Interestingly, a rough correlation between the rate of physical aging and the fractional free volume has been observed for a number of polymers; a basic form of this correlation is presented in Fig. 10, although the relationship is likely more complicated. That is, higher free volume glassy polymers tend to age more rapidly than lower free volume polymers, all other factors (e.g., thickness) being equal.

The dependence of physical aging on thickness on physical aging has become a growing area of study. Physical aging rates increase substantially, as tracked both by gas transport and optical properties, as films approach sub-micron thicknesses [127–129,132,134,141–152]. For example, in polysulfone, significant deviation from the aging behavior in bulk films is seen once films become thinner than roughly 10  $\mu\text{m}$ , while thicker films age at rates similar to bulk films [144].

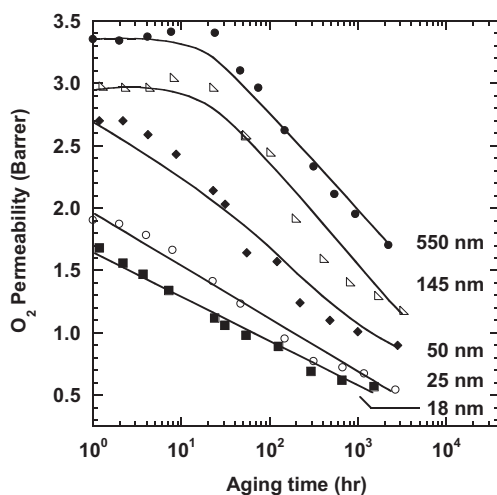
The physical aging of ultrathin films has also been examined. The ultrathin regime encompasses polymer films thinner than 400 nm [127]. Fig. 11 presents the impact of film thickness on oxygen permeability of Matrimid®, pictured later in Fig. 25, a commonly studied gas separation polymer. The differences in oxygen permeability as a function of thickness at short aging times



**Fig. 10.** Effect of fractional free volume on the physical aging rate of selected polymers including cross-linked and uncross-linked poly(1-trimethylsilyl-1-propyne) (PTMSP), and poly(2,6-dimethyl-1,4-phenylene oxide) (PPO). Aging rate is defined in Eq. (21). All films were approximately 400 nm thick. Adapted from Kelman et al. [137]; copyright Elsevier.

is attributed to rapid physical aging in the first hour after quenching the samples from above to below  $T_g$  to start the aging experiment, but before the samples could be prepared for permeability testing [127]. Even within the ultrathin regime, thinner samples generally have higher rates of physical aging, as judged by the slope of the permeability versus log aging time results in Fig. 11. Increased physical aging at such thicknesses is practically relevant, because commercial membranes have effective thicknesses on the order of 100 nm. At this thickness or thinner, physical aging can lead to a rapid loss in transport properties that would not be expected based on bulk properties.

The thickness dependence of physical aging has interesting implications for gas separation membranes. Historically, the effective thickness of the dense layer of asymmetric gas separation membranes is estimated by measuring gas flux and back-calculating the thickness based on bulk permeability values. However, because the calculated thickness of these dense layers is in the ultrathin regime, the bulk permeability may not be an accurate indicator of the permeability through the thin, dense skin of



**Fig. 11.** The effect of film thickness on Matrimid<sup>®</sup> with PDMS coating O<sub>2</sub> permeability in ultrathin films. Permeability measured at 35 °C and 2 bar. Adapted from Rowe et al. [127]; copyright Elsevier.

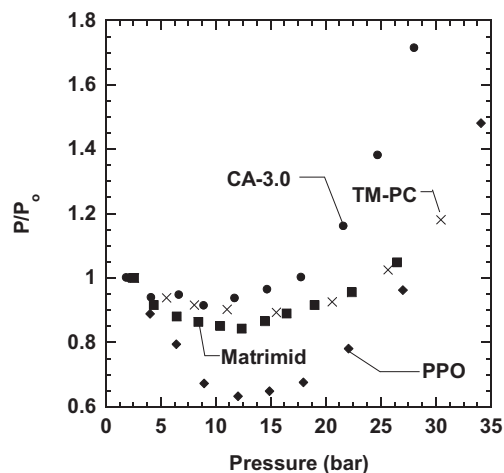
the membrane. If so, then the true thickness of the separating layer of commercial gas separation polymers may, in fact, be substantially thinner than the often-quoted figure of approximately 100 nm.

### 3.3. Plasticization

As the concentration of gas inside a polymer increases, the polymer can swell, which increases free volume and chain motion that, in turn, increases gas diffusion coefficients and decreases diffusion selectivity. This phenomenon is known as plasticization. Plasticization often results in higher gas flux but lower mixed gas selectivities, particularly at high pressures [30,153,154]. One common signature of plasticization is an increase in permeability of a gas as the upstream partial pressure of that gas increases. However, strictly speaking, increases in permeability can be due to either increases in solubility, increases in diffusivity, or both, and plasticization is typically associated with increases in permeability driven by increases in gas diffusion coefficients as upstream pressure and, therefore, the concentration of gas dissolved in the polymer, increases [155]. Another common symptom of plasticization is an increase in permeability of all components in a mixture and a loss in selectivity as upstream total pressure (or partial pressure of one or more components) increases [156].

CO<sub>2</sub> is a common gas used in plasticization studies [50,141,142,157–164]. Among gases of importance in gas separation applications, CO<sub>2</sub> is often among the more soluble gases, and plasticization by CO<sub>2</sub> is widely known and studied in relation CO<sub>2</sub> removal from natural gas (i.e., methane) [165]. Many polymers sorb enough CO<sub>2</sub> at accessible pressures to strongly plasticize, so it is a convenient penetrant for such studies.

For glassy polymers that plasticize, gas permeability generally decreases with increasing feed (i.e., upstream) pressure until plasticization occurs and gas permeability begins to increase with increasing pressure [159,164,166]. For CO<sub>2</sub> in glassy polymers, typical plasticization pressures are 10–35 bar for polymers relevant to gas separations, and it has been suggested that the CO<sub>2</sub> concentrations in such polymers are similar, ranging from 30 to 45 cm<sup>3</sup>(STP)/cm<sup>3</sup> polymer, at the plasticization pressure [159]. Polymers that sorb less CO<sub>2</sub> are often thought to be less prone to plasticization than those sorbing more CO<sub>2</sub> at a given pressure,



**Fig. 12.** Relative permeability (where  $P_0$  is permeability at a feed pressure of roughly 1 bar) as a function of feed pressure for selected glassy polymer films. Cellulose triacetate (CA-3.0) at 24 °C (●), Matrimid<sup>®</sup> at 22 °C (■), tetramethyl bisphenol A polycarbonate (TM-PC) at 25 °C (×), and poly(2,6-dimethyl-1,4-phenylene oxide) (PPO) at 25 °C (◆) [159].

though this guideline should not be viewed as a universal rule. Fig. 12 presents the relative change in permeability with feed pressure for four polymers commonly studied in the gas separation literature. The relative increase in permeability and plasticization pressure varies from polymer to polymer, highlighting the continuing need to explore plasticization in greater depth as new materials are developed.

In addition to a rapid increase in permeability with increasing feed pressure, polymers undergoing plasticization may also display a slow increase in permeability over timescales far exceeding those required to achieve steady state. This behavior in Matrimid® polyimide is shown in Fig. 13. Despite an expected time lag of seconds or minutes, depending on film thickness, CO<sub>2</sub> permeability continues to increase with time even after 2 h [141]. The effect of film thickness on these increases in permeability will also be discussed later [141]. This upward drift in permeability and related properties has also been noted in other polymers. For example, CO<sub>2</sub> sorption in polyethersulfone at high pressures can continue to increase for more than six days without reaching equilibrium [167]. Cellulose acetate, which is widely known to plasticize in the presence of CO<sub>2</sub>, has shown approximately a 40% increase in CO<sub>2</sub> permeability with time at constant feed pressure [168].

This change in permeability with time suggests that, when exposed to CO<sub>2</sub>, the properties of these glassy, nonequilibrium materials are changing due to CO<sub>2</sub> swelling-induced structural relaxation and solid-state reorganization in the polymer [158,168]. As polymers swell due to increased penetrant concentration, diffusion coefficients can increase due to increasing free volume, increasing chain mobility, or both [159,167]. These increases in diffusivity are often described as plasticization. In addition to changing diffusion coefficients, increased penetrant concentration at higher pressure can also influence permeability, solubility, selectivity, and mechanical properties.

Glassy polymers undergoing plasticization may also show hysteresis in permeation properties, with transport properties at a given pressure being different depending on whether permeability was measured during pressurization or depressurization, but this phenomenon alone does not definitively identify plasticization. This effect has been observed in many different polymers, but the degree of hysteresis often varies from polymer to polymer [165,168–171]. Fig. 14 presents an example of CO<sub>2</sub> permeability

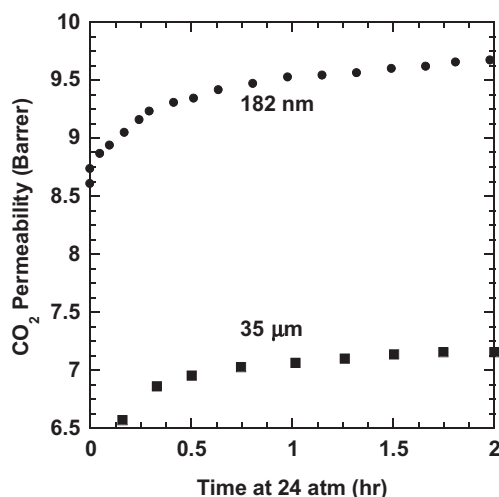


Fig. 13. CO<sub>2</sub> Permeability through Matrimid® films as a function of time after the feed pressure was set to 24.3 bar. Films were previously held at 8.1 bar and 16.2 bar. 182 nm (●) and 35 μm (■) thick films were considered at 35 °C [141].

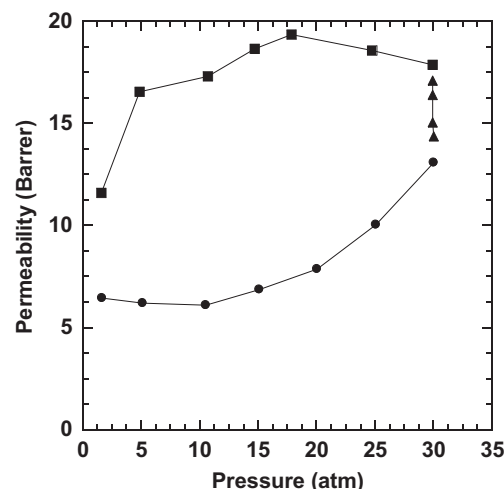


Fig. 14. CO<sub>2</sub> permeability as a function of feed pressure for cellulose acetate at 35 °C. (●) indicates permeability measurements made as feed pressure was increased, (▲) indicates measurements made while feed pressure was held constant at 30.4 bar, and (■) indicates permeability measurements made as feed pressure was decreased. Adapted from Ref. [168]; copyright Elsevier.

hysteresis in cellulose acetate. In this case, CO<sub>2</sub> permeability was first measured as a function of increasing feed gas pressure; then, the permeability was measured as the sample was held at the highest gas pressure considered; finally, gas permeability was measured as feed pressure was decreased [168]. Gas permeabilities measured as feed pressure was decreased are substantially higher than those measured while feed pressure was being increased. When the sample was continuously exposed to the highest feed pressure considered (*i.e.*, 30.4 bar), permeability gradually drifted upwards, reflecting a slow swelling of the polymer by the gas. This hysteresis is the result of conditioning this glassy polymer by the CO<sub>2</sub>, where exposure to CO<sub>2</sub> presumably causes the polymer to dilate, increasing the free volume of the material; because the polymer is a nonequilibrium glass, changes in the free volume of the polymer due to such process history effects can be relatively long-lived [32]. This phenomenon of permeation hysteresis is, like physical aging, another manifestation of the nonequilibrium nature of glassy polymers used in most gas separation applications today.

Increasing CO<sub>2</sub> permeability and plasticization of the polymer membrane can cause selectivity to decrease under mixed gas conditions in separations such as natural gas purification, which is typically represented as a CO<sub>2</sub>/CH<sub>4</sub> separation [154,156]. This decrease in selectivity is due to the CH<sub>4</sub> permeability increasing more with increasing pressure than that of CO<sub>2</sub>, and it is typically thought to be a result of the free volume increases caused by CO<sub>2</sub>-induced plasticization, similar to the way CO<sub>2</sub> plasticization has been shown to effect CO<sub>2</sub>/ethane and CO<sub>2</sub>/CH<sub>4</sub> selectivity in various polymers [172]. A more rapid increase in CH<sub>4</sub> than CO<sub>2</sub> permeability as free volume increases is predicted by the Cohen-Turnbull theory Cohen-Turnbull theory as seen in Eq. (1) [29]. This decreasing selectivity has been observed in cellulose acetate, Matrimid®, and many other materials [168,173].

While this section has focused on plasticization caused by CO<sub>2</sub>, plasticization can be caused by any component or even impurities in a feed stream [160,174]. Penetrants that exhibit higher sorption in polymers are more likely to cause plasticization and conditioning effects. One practical example is the plasticization of membranes used for natural gas purification by higher hydrocarbon contaminants in the feed [175].

Recently, the plasticization behavior of films with thicknesses of about 200 nm was characterized. These results were compared to those obtained using films with thicknesses ranging from 20 to 50  $\mu\text{m}$ , and the thin films showed a much larger increase in permeability at the same  $\text{CO}_2$  concentration [141,142]. These changes in permeability also occurred over a much shorter timescale in thin films. While much is still unknown about this behavior, the discrepancies between thick and thin film behavior have been attributed to the difference in relaxation time distribution between thick and thin films [141,142]. Plasticization and physical aging occur in both thin and thick films; however, as demonstrated in Fig. 15, these effects are much more prominent in thin films [141,142]. In roughly the first 5 h, the transport properties of the thin film are dominated by plasticization and permeability increases. However, at longer times, the transport properties are dictated by physical aging, and a decrease in permeability is observed. Because the timescale for plasticization is longer and the effects of physical aging less dramatic in thick films, the same behavior is not seen in the thick film. An understanding of plasticization in thin films and how it differs from widely studied thick films with thicknesses of 20–50  $\mu\text{m}$  is interesting from a fundamental standpoint, but it also has practical ramifications because commercial gas separation membranes have effective thicknesses in the hundreds of nanometer range [9].

#### 4. Current commercial membrane gas separation

Separations consume 4500 trillion Btu of energy per year in the United States, which is approximately 22% of all in-plant energy use [71]. Much of this energy consumption is associated with distillation. Over 40,000 distillation columns are used for over 200 different separations in the United States, which accounts for 49% of industrial separation energy consumption [71]. Other separation processes include absorption, crystallization, and membrane separations. Of these processes, membranes are attractive because they do not involve sorbents and do not require a thermal driving force to separate mixtures.

Industrially, membranes are currently used for acid gas removal, nitrogen enrichment, ammonia purge gas recovery, refinery gas purification, oxo-chemical synthesis, and dehydration. Representative gas pairs needing separation in these applications are shown in Table 2.

**Table 2**

Primary current industrial gas separations for polymer membranes.

Gas pair	Application
$\text{CO}_2/\text{CH}_4$	Acid gas treatment
$\text{N}_2/\text{O}_2$	Nitrogen enrichment
$\text{H}_2/\text{N}_2$	Ammonia purge gas recovery
$\text{H}_2/\text{CH}_4$	Refinery gas purification
$\text{H}_2/\text{CO}$	Syngas ratio adjustment
$\text{H}_2\text{O}/\text{Air}$	Dehydration

##### 4.1. Hydrogen recovery

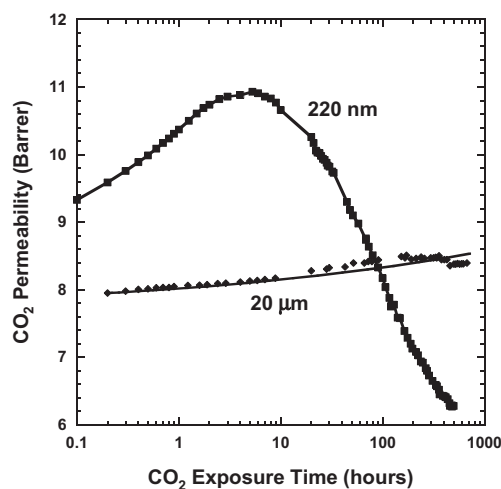
Hydrogen is often highly permeable in polymers and typically is much more permeable than other gases, such as nitrogen, methane, and carbon monoxide, leading to high selectivity for hydrogen in gas mixtures for many polymers. As a result, hydrogen separation from mixtures with other gases was an initial target for membrane separations [71]. Currently, hydrogen separation membranes are used for recovering ammonia purge gas, oxo-chemical synthesis, and refinery off-gas purification [9,14,176,177].

Hydrogen recovery from ammonia purge gas was the first large-scale commercial gas separation membrane application [14]. Ammonia is synthesized via the Haber process by reacting nitrogen and hydrogen over a catalyst at high pressures (e.g., >101 bar) and high temperatures (e.g., >400 °C) [178]. Nitrogen is typically obtained by cryogenic distillation of air. Small quantities of argon, which is a trace component in air, remain in the nitrogen feedstock even after liquefaction. Hydrogen is produced by steam reforming of hydrocarbons (often methane), so even after purification, hydrogen will contain low levels of residual methane [178]. Because reactor yield is less than 30%, a recycle loop is needed to achieve adequate conversion of reagents, and argon and methane that would otherwise build up in the reactor are purged from the recycle loop, resulting in a loss of hydrogen gas from the process [178]. Today, gas separation membranes are used on the reactor purge stream to mine hydrogen from the purge gas, recycling it to the process.

Monsanto was the first company in this market, offering a polysulfone hollow fiber system marketed as Prism<sup>®</sup> membranes around 1979 [11]. Today, membranes used for ammonia purge gas recovery represent proven technology. Prism<sup>®</sup> membranes can achieve 95% recovery of  $\text{H}_2$ , and certain systems have been in operation since 1979 [179].

Other hydrogen separations involve adjusting molar ratios of syngas ( $\text{H}_2/\text{CO}$ ) and hydrogen recovery in refinery hydrotreaters ( $\text{H}_2/\text{CH}_4$ ). Syngas is a mixture of  $\text{H}_2$  and CO produced from steam reforming of natural gas, oxidation of heavy oils, or gasification of coal or coke [180]. Depending on the method used to produce syngas,  $\text{H}_2:\text{CO}$  ratios will vary between 1 and 5 [181], and this ratio must be adjusted for specific synthesis applications. Because  $\text{H}_2$  can easily be separated from CO with gas separation membranes, this application was an early target for membrane-based separations. Prism<sup>®</sup> membranes introduced by Monsanto were first installed in 1977 for syngas ratio adjustment [179], and other companies now offer a range of gas separation membranes for these applications [176].

Refinery off gas purification is another hydrogen-based commercial membrane application. Petroleum crude feedstocks contain many different molecular weight products that must be separated before use. The heavier fraction of these products is often cracked, i.e., broken into smaller components, through a catalytic process known as hydrocracking. This process relies on injecting hydrogen into the cracker to improve several aspects of the reaction chemistry. For example, hydrogen often reacts with polycyclic



**Fig. 15.** Simultaneous physical aging and plasticization in 200 nm and 20  $\mu\text{m}$  Matrimid<sup>®</sup> films, which were aged 200 h at 35 °C and then exposed to  $\text{CO}_2$  at 32.4 bar [141].



aromatic compounds that are generally inert to other cracking processes. Furthermore, hydrogen helps eliminate unsaturated hydrocarbons and reduces the formation of tar and coke [182]. Increasing the purity of hydrogen used in a hydrocracker can increase the life of the cracker catalyst and increase the production of higher paraffinic compounds [182]. It is highly desirable to recycle  $H_2$  from the hydrocracker products to the hydrocracker feed. Polymer membranes are used in the recycle loop to achieve this separation. Being a high-throughput process, membranes with  $H_2/CH_4$  selectivities of approximately 20–25 and very high permeabilities are suitable for this application [182]. Such selectivities are readily obtained with many glassy polymers, and membrane systems have also been deployed using polymers having  $H_2/CH_4$  selectivities above 100, though the higher selectivity is often accompanied by lower permeability [183]. Like with many other hydrogen separations, Prism<sup>®</sup> membranes are often used for refinery off gas purification [14].

#### 4.2. Air separation

Today, air separation is accomplished by several industrial processes, including cryogenic distillation, pressure swing adsorption (PSA), and membranes [82,98,184]. By far, the largest market penetration for membrane-based air separation is for nitrogen enrichment applications [9,14,98]. However, for users who require  $N_2$  flowrates between approximately 5000 scfd (5.9 m<sup>3</sup>/h) to 1 MMscfd (1180 m<sup>3</sup>/h), membranes are often the most economical option, especially if required  $N_2$  purity is between about 95 and 99% [14]. The competing technologies (*i.e.*, cryogenic distillation and PSA), are used to process higher gas flowrates and, for cryogenic distillation, to achieve higher purity  $N_2$  [87]. Over the past 25 years, developments of higher performance polymers have helped advance the range of  $N_2$  purity and flowrate that can be economically accessed with membrane systems [9,14,87,98,185].

Several  $N_2$  enrichment applications for membranes include refrigeration, inerting [184], and other niche markets [186]. Many other high-volume, high-purity  $N_2$  applications exist, such as enhanced oil recovery, metallurgical processes, and gas feeds for the electronics industry, but these applications are not typically pursued as membrane-specific separation processes because of the large gas volumes or high gas purity that is required for these  $N_2$  streams [98].

Designing a membrane system requires a balance and optimization of many parameters such as compressor costs, purity requirements, gas flowrates, and gas rejection specifications that are highly process-specific [9,14,73,98]. Membranes with selectivities as low as 2 can be used to produce 99% pure  $N_2$ ; however, at these conditions,  $N_2$  recovery is very low, and compressor costs are, accordingly, high for such  $N_2$  enrichment applications [14]. Therefore, much of the early work in this field focused on improving membrane selectivity.

Some of the earliest air separation membranes were produced by Generon and Permea in the mid-1980s [9]. The Generon membranes were made of poly(4-methyl-1-pentene) (TPX), and had selectivities of approximately 4, which limited their use [9]. Research into new membrane materials and design quickly improved air separation performance, and by the early 1990s, several new hollow fiber membranes were brought to market, including tetrahalogenated bisphenol based polycarbonates by Generon [54], polyimides by Praxair [9], and polyimide and polyaramide membranes by Medal [9]. During this time, the push for nitrogen enrichment applications brought about significant advances in the design and fabrication of many asymmetric membrane systems. Eikner et al. summarize 44 patents describing these advances [187].

Commercial membranes have also been developed for applications in air dehydration [97,188,189]. These membranes lower the dew point of air by selectively permeating water over nitrogen, oxygen, argon, and other components in air [97]. The first type of air dehydration membrane was marketed in 1987 by Permea Inc. and was used to replace desiccants in refrigeration dehydrators [190]. These membranes were also used to produce dry air for military applications such as fire control, electronics, and communication systems [190]. Today, Air Products sells dehydration membranes under the trade name CACTUS<sup>®</sup> for high pressure dehydration applications up to 1200 psig (83.7 bar). In the US, China and the European Union, compressing air requires approximately 10% of all electricity used by industry [191], and membrane dehydrators are widely used today to dry compressed air due, in part, to the simplicity and reliability of such systems relative to the competing technology, which is based on condensation or solid desiccants [14].

An additional air separation membrane application is On-Board Inert Gas Generation Systems (OBIGGS), which involves generation of nitrogen-enriched air for fuel tank blanketing to reduce the potential for explosion of flammable fuel/air mixtures in the head space of fuel tanks [99,192–197]. Although military aircraft fuel tanks, in many cases, were inerted using air separation membranes for many years [192,198], following the explosion and crash of TWA Flight 800 in 1996, which was blamed on a fire in the fuel tank, the US Federal Aviation Administration (FAA) began exploring the possible use of such systems on commercial aircraft [199]. These systems have been installed on many commercial aircraft to date to reduce the potential for sparks from rotating components and wires installed in fuel tank systems causing fires [200].

#### 4.3. Natural gas purification

Natural gas is a complex mixture of methane, carbon dioxide, ethane, higher hydrocarbons, hydrogen sulfide, inert gases, and trace components of many other compounds, such as BTEX aromatics (benzene, toluene, ethylbenzene, and xylenes) [10]. The actual composition of natural gas varies depending on the well, and delivery of gas to the U.S. national pipeline grid requires that all natural gas be treated, at least to some degree. This treatment is designed to prevent pipeline corrosion as well as adjust the heating value and dew point of the fuel to a standard level. U.S. pipeline specifications require that natural gas contains less than 2%  $CO_2$ , 4 ppm  $H_2S$ , and 7 lb/MMscf water [201]. Moreover, it must have a heating value of 950–1050 Btu/scf and a dew point of  $-20^\circ C$  or less [14].

Removal of  $CO_2$  and  $H_2S$  (*i.e.*, acid gases) from natural gas is a growing area for membrane technology. In 2008, it was estimated that the worldwide market for natural gas separation equipment was approximately \$5 billion/yr, and membrane technology accounted for approximately 5% of this market [10]. Furthermore, the membrane market is estimated to grow to \$220 million/yr by 2020 [9]. Membrane separation competes most directly with amine absorption, which has existed since the 1930s and is commonly used for acid gas separations [202]. The capital costs, energy consumption, plant footprint, and maintenance costs of amine absorption have encouraged the development of membrane systems and membrane/absorption hybrid systems for natural gas purification [203]. The first membranes for natural gas purification were developed in the early to mid-1980s. W.R. Grace (now part of UOP) and Separex (now part of UOP) developed spiral-wound membranes, and Cynara (now part of Cameron) developed hollow-fiber membranes based on cellulose acetate [10]. These cellulose acetate membranes are still widely used today, but polyimides and other materials have gained some traction in this field over the past

15–20 years [10]. These membranes can be configured a variety of ways, but the number of membrane modules, compressors, and the configuration of these system often depends on the desired flow-rate [10,14,185].

## 5. Selected commercially relevant polymers

Over the last 30 years, polymer membranes have developed into a feasible industrial process for gas separations. During this time, several polymers have been established as common gas separation membranes. This section will highlight commercially relevant polysulfones (PSF), polycarbonates — particularly tetrabromo bisphenol A polycarbonate (TB-BisA-PC), cellulose acetates (CA-DS, where DS is the degree of acetylation of the cellulose), poly(phenylene oxides) — particularly poly(2,6-dimethyl-1,4-phenylene oxide) (PPO), aramids, and polyimides (PI). In particular, the polysulfone section will focus on Bisphenol A based polysulfones and other modifications of these polymers. The polyimides section will focus on Matrimid® and BPDA-ODA, pictured later in Figs. 25 and 26 respectively, while a later section will highlight new developments in polyimides. For comparison, Table 3 and Table 4 present pure gas permeabilities, pure gas selectivities, and free volume measured on dense films for these selected polymers.

### 5.1. Polysulfones

Polysulfones are characterized by diphenylene sulfone repeat units ( $-\text{Ar}-\text{SO}_2-\text{Ar}'-$ ), and they are regarded as among the most chemically and thermally durable thermoplastic polymers available [210]. Repeating phenylene rings create backbone rigidity, steric hindrance to rotation within the molecule and an electronic attraction of resonating electron systems between adjacent molecules. These properties contribute to a high degree of molecular immobility, resulting in high rigidity (high  $T_g$ ), high strength, good creep resistance, dimensional stability, and high heat deflection temperature [211]. The basic repeat units of several commercially available polysulfones (Vitrex® PES, Udel® PSF and Radel® R) are illustrated in Fig. 16. A broad range of polysulfones can be prepared via nucleophilic aromatic ( $\text{S}_\text{N}\text{Ar}$ ) polycondensation of an aromatic dihydroxy compound with a bis-(halophenyl) sulfone. Detailed description of the synthesis of polysulfones can be found elsewhere [210].

Polysulfones are an important commercial membrane material for gas separations due to their excellent mechanical properties, a wide operating temperature range, fairly good chemical resistance, and easy fabrication of membranes in a wide variety of configurations and modules [210]. The first large-scale membrane separation

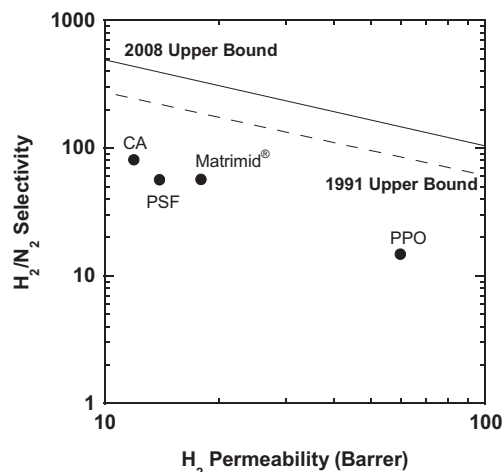


Fig. 17.  $\text{H}_2/\text{N}_2$  upper bound plot for representative common commercially relevant polymers in Table 3 [100,101].

process, developed by Monsanto Co. in the late 1970s, utilized asymmetric hollow fiber membranes of bisphenol A polysulfone coated with a thin layer of silicone rubber [212]. The gas transport properties of commercial PSF and PSF variants, particularly the influence of various bridging moieties between the phenyl rings and the groups substituted on the phenyl rings on gas permeation properties, have been extensively studied [88,206,213–219]. Symmetric bulky substitutions (e.g., methyl groups) on the phenyl rings significantly increase gas permeability, while asymmetric substitution of these same groups decreases gas permeability [220]. For example, replacing the isopropylidene bridging moiety with bulkier groups, like hexafluoro isopropylidene ( $-\text{C}(\text{CF}_3)_2-$ ), makes the polymers much more permeable, mainly due to the enhanced free volume [213]. However, these large increases in  $\text{CO}_2$  permeability can also affect the susceptibility of gas transport properties to plasticization by gases such as  $\text{CO}_2$ . A combination of hexafluoro isopropylidene groups and symmetric substitution led to the appearance of plasticization effects when  $\text{CO}_2$  pressure exceeded approximately 15.2 bar [219].

Polysulfone remains widely used for hydrogen and air separations [9]. Figs. 17 and 18 present the gas separation performance of representative commercial polymers listed in Table 3. Polysulfone has a higher  $\text{H}_2$  permeability than cellulose acetate and a lower  $\text{H}_2$  permeability than Matrimid®. However, CA, Matrimid®, and PSF are all similar distances from the upper bound in Fig. 17, showing that they tradeoff permeability for selectivity as expected. As seen in

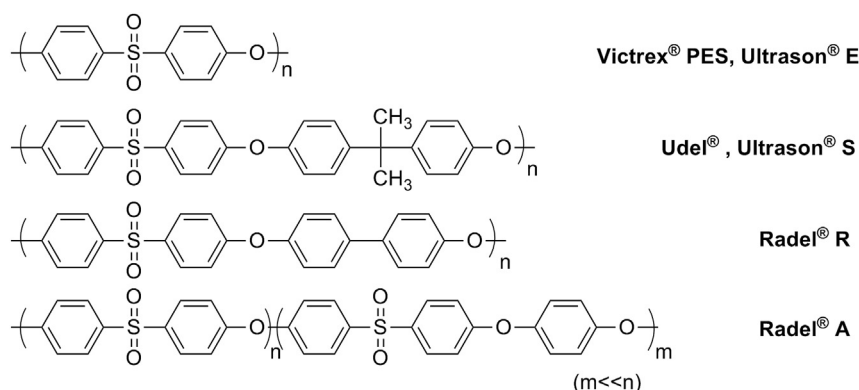
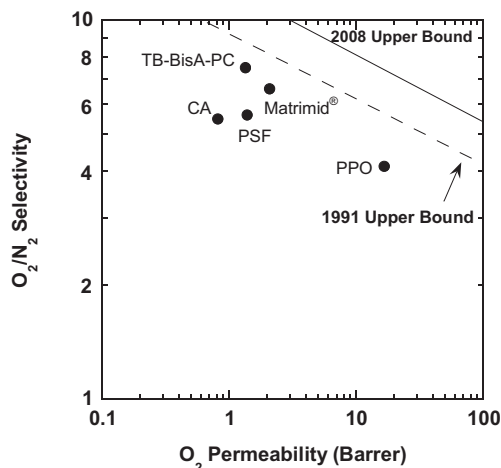


Fig. 16. Chemical structures of several polysulfones.



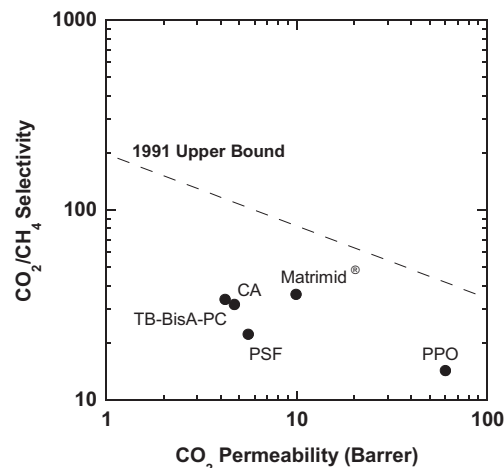
**Fig. 18.** O<sub>2</sub>/N<sub>2</sub> upper bound plot for representative common commercially relevant polymers in Table 3 [100,101].

Fig. 18 for O<sub>2</sub>/N<sub>2</sub> separations, PSF falls below Matrimid® and the tetrabromo bisphenol A-polycarbonate in overall performance as judged by distance from the upper bound line. These polymers will be discussed in more detail in the following sections.

## 5.2. Cellulose acetates

Cellulose acetate (CA) and its derivatives were among the first generation of commercial membranes used for natural gas separation [9,221–223]. In addition to desirable transport properties, the development of the asymmetric membrane concept by Loeb and Sourirajan, which greatly reduced the surface area necessary to achieve high gas productivity, led to the commercialization of cellulose acetate membranes, initially for use in desalination applications [224]. CA continues to be used in gas separations for removal of acid gases (CO<sub>2</sub> and H<sub>2</sub>S) from natural gas as well as the separation of CO<sub>2</sub> from mixtures with hydrocarbons in enhanced oil recovery operations [185].

CA polymers are produced by acetylation of cellulose with a source of acetate esters, typically acetic anhydride or acetic acid, and a catalyst such as sulfuric acid [225]. In general, the family of CA polymers includes a spectrum of semi-crystalline materials of varying degrees of acetylation of the hydroxyl groups on cellulose and will be referred to as CA-DS, where DS refers to the degree of acetylation, or degree of substitution (DS). The degree of substitution refers to the number of –OH groups on each glucose unit that have been esterified by acetyl groups (i.e., 0 ≤ DS ≤ 3) [225]. Fig. 19 shows the chemical structure of a cellulose repeat unit with all three hydroxyl groups esterified, commonly referred to as cellulose triacetate (CTA). Cellulose acetates with specific degrees of substitution are commonly produced by converting CA to CTA and hydrolyzing

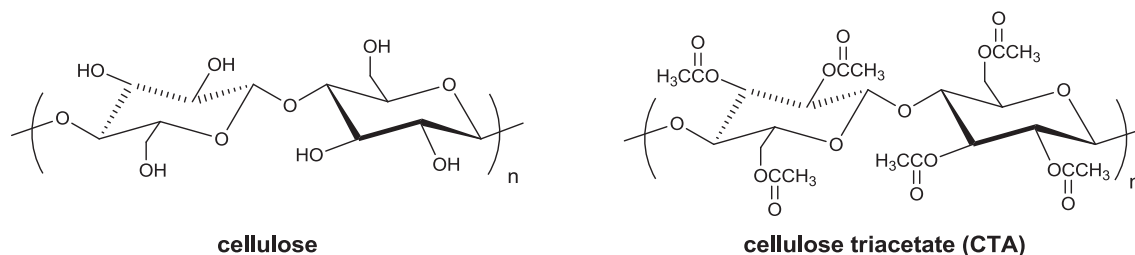


**Fig. 20.** CO<sub>2</sub>/CH<sub>4</sub> upper bound plot for representative common commercial polymers. The data are from the same sources as those in Table 3 [100,101].

acetates until the desired degree of substitution is reached. CA polymers of varying DS are soluble in organic solvents because acetylation greatly reduces hydrogen bonding and membranes may then be manufactured using the phase inversion process [49].

Although cellulose acetate has been used for nearly 30 years commercially, pure gas permeability and selectivity values position this polymer well below Robeson's upper bound [100,101]. As seen in Table 3, cellulose acetate with a DS of 2.45 has a pure gas CO<sub>2</sub> permeability of 4.8 Barrer, which is less than half that of Matrimid®. This permeability value is a function of the degree of acetylation in these polymers, and varying the degree of acetylation from 1.75 to 2.85 increases CO<sub>2</sub> permeability from 1.84 to 6.56 Barrer [168]. This increase is due to the replacement of polar hydroxyl groups with bulky acetate groups, which decreases polymer density and creates a higher free volume structure [168]. However, as seen in Fig. 20, with a fairly high DS of 2.85, the CO<sub>2</sub>/CH<sub>4</sub> selectivity is 33, significantly below 175, which is the selectivity value of the 2008 upper bound at a permeability of 6.56 Barrer.

CA membranes are relatively inexpensive in part because cellulose (the raw material) is an abundant and renewable resource [226]. The technology to produce membrane modules from cellulose acetate is also relatively well developed [227]. There are, however, several inherent limitations of CA membranes, which restrict its use in membrane gas separations. One limitation of cellulose acetate is that it plasticizes in the presence of CO<sub>2</sub>. Plasticization can cause the CO<sub>2</sub>/CH<sub>4</sub> selectivity of cellulose acetate to decrease in mixed gas environments. For example, the CO<sub>2</sub>/CH<sub>4</sub> selectivity decreased from 35 to 31 as CO<sub>2</sub> partial pressure increased from roughly 4.1 bar to 12.7 bar in a 30% CO<sub>2</sub> mixture [156]. This decrease in selectivity would reduce methane recovery in natural gas separations, but it is also accompanied by an increase



**Fig. 19.** Chemical structures of cellulose and cellulose triacetate (CTA).

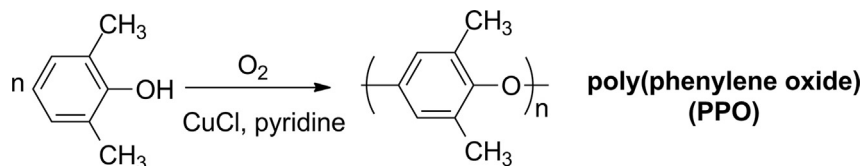


Fig. 21. Synthesis of PPO via oxidative coupling polymerization.

in CO<sub>2</sub> permeability, which would reduce the membrane area required for removing CO<sub>2</sub> from a given amount of natural gas. These changes, which vary with feed gas concentration, mean that the separation performance of cellulose acetate depends on feed composition and feed pressure [228].

### 5.3. PPO

Poly(2,6-dimethyl-1,4-phenylene oxide) (PPO) is a high-performance engineering thermoplastic with good mechanical properties and thermo-oxidative stability because of a low barrier to rotation and resonance stabilization of the aromatic ether bond (–Ar–O–Ar–) [229,230]. PPO was the first member of the family of poly(phenylene oxides) to be commercialized. The material was discovered by Hay [231] over 50 years ago and was commercialized by General Electric [232] (now SABIC Plastics) and AKZO [233]. PPO can be synthesized from substituted phenols via oxidative coupling polymerization which involves the room-temperature oxidative C–O coupling of 2,6-dimethylphenol in the presence of stoichiometric amounts of oxygen. This process is catalyzed by CuCl and an amine ligand, such as pyridine, as shown in Fig. 21. Care must be taken during the oxidative coupling of 2,6-dimethylphenol to avoid undesired C–C coupling that gives rise to small dimeric molecules rather than high molecular weight polymers [210]. The C–O/C–C coupling selectivity and the molecular weight of polymers in oxidative coupling are both strongly dependent on the chemical structure of the phenol monomer, *i.e.*, the substituents and the position of substitution [210]. Other synthetic methods, such as aromatic nucleophilic substitution (S<sub>N</sub>Ar), electrophilic aromatic substitution using Friedel–Crafts catalysts, Ullman polycondensation, *etc.*, have also been developed to synthesize high molecular weight PPOs [210]. Compared with those methods, catalytic oxidative polymerization is considered a much cleaner process because the reaction can be conducted at moderate temperatures, halogen-free monomers can be utilized, and the only by-product is water.

PPO was the first commercialized aromatic polyether and is still being used in many industrial applications like gas separations

[234]. As shown in previous upper bound plots, PPO displays relatively high permeabilities to light gases with moderate overall selectivities, which stems from its chemical and conformational structure of the aromatic ether bond. The kinked ether linkage and the absence of polar groups suppress efficient chain packing and densification, resulting in a relatively large fractional free volume in PPO, as seen in Table 3. The high free volume and the ease of rotation of the phenyl rings about the ether linkages contribute to high diffusivity and permeability, while the moderate gas selectivity comes in part from the absence of polar moieties on the polymer backbone [235]. Therefore, most research on PPO has focused on chemical modification of PPOs with various functional groups to improve the selectivity [217,236–243]. For example, Monsanto developed a cross-linked PPO membrane by brominating PPO and then cross-linking it [241]. The brominated material is typically spun into hollow fibers which are coated with silicone rubber to repair defects [244]. The transport properties can be manipulated by altering the bromine content and degree of substitution [242,243]. PPO has also been modified in various other ways to enhance gas transport properties, and is often blended with impact polystyrene for commercial use [237–240]. In all cases, the membrane properties strongly depend on the extent of chemical modification and the location of substitution, *i.e.*, on the ring or on the methyl groups. Although the properties can be improved via chemical modification, there is still a need to develop an economic and efficient way to conduct such modifications in a controllable manner.

### 5.4. Aramids

Aromatic polyamides, or aramids, are generally produced from step polymerization or the polycondensation reaction of aromatic diamines with aromatic diacid chlorides. Examples of aramids include poly(*p*-phenylene terephthalamide) (*i.e.*, Kevlar®) and poly(*m*-phenylene isophthalamide) (*i.e.*, Nomex®) [245]. Fig. 22 shows the chemical structures of isomeric phenylenediamines and aromatic diacid chlorides in commercial *meta*- and *para*-aramids.

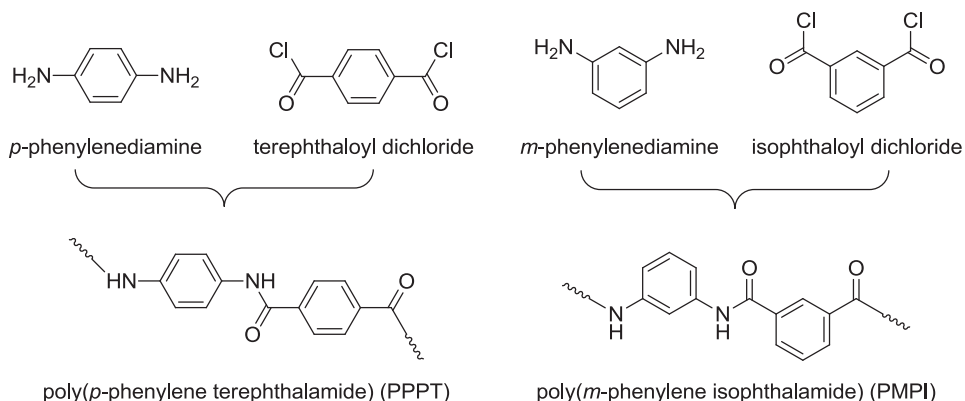


Fig. 22. Chemical structures of phenylenediamines and aromatic diacid chlorides in commercial *meta* (PMPI) and *para*-aramids (PPPT).



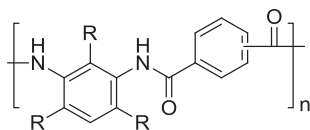


Fig. 23. General structure of aramids reported by Eikner et al. [245].

These polymers are commercially synthesized via low-temperature polycondensation, typically in a solution of diacid dichloride and phenylenediamine with a tertiary base present to scavenge the liberated hydrogen chloride [246]. The resulting polymer solutions from these polymerizations are often used directly to spin fibers [247,248]. The polycondensation reaction can also be conducted in a two-phase system, via so-called interfacial polymerization [249]. Interfacial polymerization usually produces polymers with a broad molecular weight distribution that are unsuitable for use as fibers [249]. However, cross-linked aramids synthesized via interfacial polymerization of trimesoyl chloride (TMC) with *m*-phenylene diamine (MPD) on a microporous polysulfone support have been commercially applied for many years as reverse osmosis (RO) membranes [250,251].

The wholly aromatic structures of the aramid polymers give them excellent thermal and mechanical properties, which make them useful in technologies such as gas separations [248]. Aramids have been traditionally considered to be very efficient barrier materials; traditional aramids, such as PPPT and PMDI, have extremely low gas permeabilities due to the high packing density (high cohesive energy) of the polymer chains [248]. Therefore, research efforts have been directed toward reducing the interchain hydrogen bonding, thus increasing the free volume via chemical modifications, to yield materials with better solubility (processibility) and improved gas permeability. Using this idea, aromatic polyamides with bulky substitutions on aromatic rings have been designed and synthesized to improve transport properties [252–256].

Publications from DuPont in 1990 and 2001 discuss fiber spinning and properties of high-performance aramids. These aramids have the general structure shown in Fig. 23 and, as of 1990, were used to purify a hydrogen feed stream at Conoco's Ponca City Refinery [183,245,257]. Such materials are now more widely used in a variety of hydrogen separation applications. These polymers have  $H_2$  permeabilities ranging from 4 to 40 Barrer and selectivities ranging from 75 to 600 for  $H_2/CH_4$  for a 50/50 mixture of  $H_2/CH_4$  at 90 °C [183]. The transport properties are superior to those of the commercial polysulfone UDEL-3500, and aramids also had higher yield stress and modulus than those polysulfones [183]. Despite their limited number of solvents, aramids can be prepared into spin dopes using a combination of organic and inorganic additives such as polyvinyl pyrrolidone, polyvinylpyridine, LiCl,  $MgCl_2$  and others [183].

### 5.5. Polycarbonates

Polycarbonates are polyesters of carbonic acid (especially derived from phosgene or diphenyl carbonate) that are tough

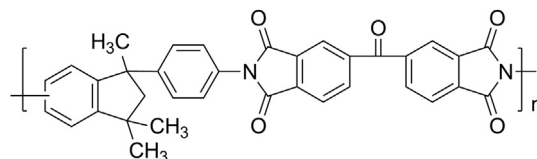


Fig. 25. Chemical structure of Matrimid® polyimide.

engineering thermoplastics. A commonly used commercial polycarbonate is based on bisphenol A (4,4'-isopropylidene diphenol). Conventionally, polycarbonate was produced via an interfacial phase-transfer catalyzed aqueous caustic process where alkali salts of bisphenol A (or its tetra-substituted variants) in aqueous solution are phosgenated in the presence of an inert solvent (cf., Fig. 24) [258]. Phosgene-free solution or melt processes have been developed to produce polycarbonates via transesterification of diphenyl carbonate with bisphenol A. The melt transesterification process has found special commercial viability in recent years, largely due to its ability to eliminate the need for toxic phosgene [259].

A common modification to polycarbonates is the substitution of aromatic hydrogens with various functional groups as shown in Fig. 24. One of the bisphenol A polycarbonates of interest for gas separation applications is tetrabromo bisphenol A polycarbonate (TB-BisA-PC), where the four hydrogen atoms are symmetrically replaced by Br atoms in the benzene ring ( $X = Br$  in Fig. 24) [208]. This material is believed to be a key material in gas separation membranes marketed by Innovative Gas Systems, formerly Genetron [186,260]. At the time it was reported, the  $O_2/N_2$  selectivity of 7.5 made TB-BisA-PC one of the most selective polymers available for air separations, and this high selectivity was coupled with a relatively good  $O_2$  permeability of 1.83 Barrer [208]. Among the tetra-substituted polycarbonates reported in the literature, TB-BisA-PC has the highest  $T_g$  and density, and, as expected, the lowest free volume, diffusion coefficient, and gas permeability coefficients [208,261]. These properties are a consequence of the introduction of stronger cohesive forces owing to polar halogens that may result in more dense packing and reduced rates of local segmental motion believed to be important in gas diffusion in polymers [208]. This enhanced chain packing contributes to increased  $O_2/N_2$  selectivity in this polymer, making it more attractive for use as an air separation membrane.

Commercial TB-BisA-PC is most likely a polyester carbonate copolymer, which contains both ester linkages and carbonate linkages in the backbone of the polymer [262]. These polymers are generally prepared via a hybrid process of reacting the tetrabromo bisphenol A with a dicarboxylic acid or dicarboxylic acid halide and phosgene [262].

### 5.6. Polyimides

In general, aromatic polyimides have high gas permeability and high intrinsic selectivity combined with desirable physical properties, which make them attractive membrane materials. As of 2002, polyimides were reportedly used by Air Liquide, Praxair, Parker-Hannifin, and Ube for various gas separation applications

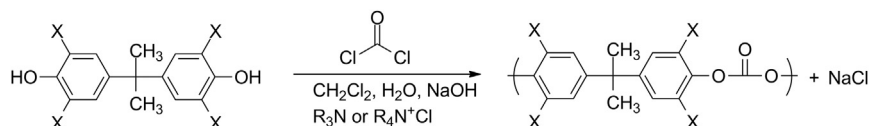


Fig. 24. Interfacial polymerization of polycarbonates. Where  $X = CH_3$  is tetramethyl bisphenol A PC (TM-BisA-PC),  $X = Cl$  is tetrachlorobisphenol A PC (TC-BisA-PC),  $X = Br$  is tetrabromo bisphenol A PC (TB-BisA-PC).

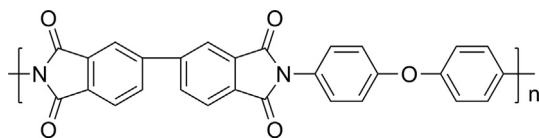


Fig. 26. Chemical structure of BPDA-ODA polyimide.

[9]. Aromatic polyimides are typically the polycondensation products of aromatic dianhydride and aromatic diamine monomers. In a classic method of polyimide synthesis, a tetracarboxylic acid dianhydride is added to a solution of diamine in a polar aprotic solvent at relatively low temperatures (15–75 °C). The resulting poly(amic acid) is cyclodehydrated to the corresponding polyimide by extended heating at elevated temperatures (*i.e.*, bulk solid-state thermal imidization or solution imidization), or by treatment with chemical dehydrating agents (*i.e.*, chemical imidization) [263].

Matrimid® is a commercial aromatic polyimide, consisting of 3,3'-4,4'-benzophenone tetracarboxylic dianhydride (BTDA) and diaminophenylindane (DAPI) [264–266]. DAPI (5(6)-amino-1-(4-aminophenyl)-1,3,3-trimethylindane) has an isomeric phenyl indane; therefore the polyimide formed is a mixture of 6-amino and 5-amino isomers, which are fully imidized during the manufacturing process [266]. The repeat unit structure of Matrimid® polyimide is shown in Fig. 25. The DAPI monomer has a bent phenyl indane ring structure, which is centered about the carbon bridging the indane structure and the phenyl ring. Four bulky groups (*i.e.*, three methyl groups and one phenyl group) stretch out of the plane, which stiffen the polymer backbone (its  $T_g$  is greater than 300 °C), and disrupt efficient chain packing. As a result of its isomeric composition and bulky nature, Matrimid® polyimide, along with 6F-containing polyimides, was one of the first aromatic polyimides truly soluble in common organic solvents [264,267].

Matrimid® was originally developed for use in the microelectronics industry [265], but it is also used in gas separation membranes [146,158,268,269]. In addition, its mechanical strength and high  $T_g$  suit it better for more rigorous working environments, especially at high temperatures [270]. Furthermore, the good solubility of Matrimid® in common organic solvents allows it to be solution processed, which is a requirement for fabrication into a gas separation membrane [270].

Matrimid® has the best combination of CO<sub>2</sub> permeability and CO<sub>2</sub>/CH<sub>4</sub> selectivity among the commercially relevant polymers

Table 4

Common gas selectivities for commercially relevant polymers.

Polymer	H <sub>2</sub> /CH <sub>4</sub>	H <sub>2</sub> /N <sub>2</sub>	CO <sub>2</sub> /CH <sub>4</sub>	CO <sub>2</sub> /N <sub>2</sub>	CO <sub>2</sub> /H <sub>2</sub>	O <sub>2</sub> /N <sub>2</sub>	N <sub>2</sub> /CH <sub>4</sub>
Matrimid®	64	56	36	31	0.56	6.6	1.1
CA-2.45	80	80	32	32	0.4	5.5	1
PSF	56	56	22.4	22.4	0.4	5.6	1
PPO	14	15	14	15	1	4.1	0.95
TB-BisA-PC	—	—	32	23	—	7.8	1.4
Selected aramid	245	—	—	—	—	—	—

listed in Table 3, as judged by distance from the 1991 upper bound. With a pure gas CO<sub>2</sub> permeability of 10 Barrer and a CO<sub>2</sub>/CH<sub>4</sub> selectivity of 36, Matrimid® has a higher pure gas permeability and selectivity than polysulfone, TB-BisA-polycarbonate or cellulose acetate [204]. However, like cellulose acetate, Matrimid® may plasticize when exposed to gas streams with condensable components such as CO<sub>2</sub> [158,159]. Plasticization results in increased CO<sub>2</sub> and CH<sub>4</sub> permeabilities and a significant loss in CO<sub>2</sub>/CH<sub>4</sub> selectivities. Matrimid® CO<sub>2</sub>/CH<sub>4</sub> selectivity decreases by approximately 45% in a 55/45 mol% CO<sub>2</sub>/CH<sub>4</sub> mixture as the total feed pressure increases from 5 to 50 bar [158]. This decrease in separation efficiency highlights the importance of characterizing mixed gas separation performance (rather than relying solely on pure gas permeation properties to judge a material's performance) and the need for high-performance polymers that maintain their separation characteristics in the presence of plasticizing components.

Research efforts have been directed to modify the morphology of Matrimid® membranes via either thermal treatment (“annealing”) [158,271,272] or chemical cross-linking [157,273,274] to reduce or suppress plasticization, but these approaches often result in lower gas permeability. Although Matrimid® is significantly more expensive than many other commercial polymers, such as polysulfone, the economic production of membranes with Matrimid® separating layers is facilitated by advances in composite spinning technology, where a thin Matrimid® separating layer can be applied to a support material prepared from a more economical material [187].

Another polyimide relevant to gas separations is BPDA-ODA, or Upilex® (Type R), which was developed by UBE Industries by polymerizing biphenyl tetracarboxylic dianhydride (BPDA) and 4,4'-oxydianiline (ODA) (*cf.*, Fig. 26) [275]. The commercialization of Upilex® is largely due to the successful development of BPDA, which can be readily produced by oxidative coupling of inexpensive phthalic acid esters with a palladium catalyst [276,277]. Instead of using the conventional two-step process of solid-state thermal imidization of poly(amic acid) intermediates, the industrial synthesis of BPDA-ODA is based on a one-step high temperature solution polymerization in a phenolic solvent [275]. Solution polymerization of diamine and dianhydride at high temperature is accompanied by imidization. High quality films and fibers can be produced from the polyimide-containing solution. However, it should be noted that the final treatment of solution-cast films at high temperature (300 °C) completes the closure of imide rings that are not fully imidized during solution polymerization, similar to the process of high temperature thermal imidization in solid-state. The polyimides produced by such a process have an almost completely imidized structure and provide superior properties than those prepared by solid-state imidization of poly(amic acid)s. For example, long-term oxidative and hydrolytic stabilities and retention of electrical properties are substantially better [278].

UBE has supplied gas separation systems since 1985 using polyimides similar to the Upilex® polyimides. Due to their high thermal resistance ( $T_g$  of ~285 °C), BPDA-ODA membranes can be operated at temperatures up to 100 °C [279]. The transport

Table 3

Permeability of common gases and fractional free volume of representative commercially used polymers.

Polymer	CO <sub>2</sub>	H <sub>2</sub>	O <sub>2</sub>	N <sub>2</sub>	CH <sub>4</sub>	FFV
Matrimid® <sup>a,g</sup>	10	18	2.1	0.32	0.28	0.170
CA-2.45 <sup>b</sup>	4.8	12	0.82	0.15	0.15	—
PSF <sup>c,g</sup>	5.6	14	1.4	0.25	0.25	0.144
PPO <sup>d,g</sup>	61	61	16.8	4.1	4.3	0.183
TB-BisA-PC <sup>e,h</sup>	4.2	—	1.4	0.18	0.13	0.092
Selected Aramid <sup>f</sup>	—	24.5	—	—	0.1	—

<sup>a</sup> O<sub>2</sub>, N<sub>2</sub>, CH<sub>4</sub> at 35 °C, 2 bar (all pressures in these notes refer to feed pressure) from Ref. [146]; CO<sub>2</sub> at 3.4 bar and 35 °C from Ref. [204]; H<sub>2</sub> at 4.1 bar and 35 °C from Ref. [205].

<sup>b</sup> Cellulose acetate (2.45 degree of acetylation), 1 bar and 35 °C from Ref. [168].

<sup>c</sup> Polysulfone, CO<sub>2</sub>, CH<sub>4</sub> at 10 bar and 35 °C; O<sub>2</sub>, N<sub>2</sub>, H<sub>2</sub> at 1 bar and 35 °C from Ref. [206].

<sup>d</sup> Poly(2,6-dimethylphenylene oxide), data from Ref. [207].

<sup>e</sup> CO<sub>2</sub>, CH<sub>4</sub> at 20 bar and 35 °C; O<sub>2</sub>, N<sub>2</sub> at 1 bar and 35 °C from Ref. [208].

<sup>f</sup> Selected aramid at 90 °C from Ref. [183].

<sup>g</sup> FFV for Matrimid®, PSF, PPO bulk films from Ref. [209].

<sup>h</sup> FFV for TB-BisA-PC bulk film from Ref. [208].

properties, particularly the sorption and diffusion of CO<sub>2</sub> and water vapor, of BPDA-ODA membranes have been reported in the literature [280,281]. BPDA-ODA shows a relatively low  $T_g$  and free volume, and it has relatively low water sorption compared to other common polyimides [281]. Because of this low water solubility, permeability, solubility and diffusivity are independent of water activity. Plasticization also does not occur in the presence of CO<sub>2</sub> up to approximately 30.4 bar [280].

In 2010, Honeywell UOP patented a blend of polyethersulfone and polyimides for natural gas and air separations [282]. This blend is roughly 90% by weight polyimide, based on 3,3'-4,4'-diphenylsulfone tetracarboxylic dianhydride (DSDA) and 3,3'-5,5'-tetramethyl-4,4'-methylene dianiline (TMMDA), and 10% polyethersulfone. This blend has a pure gas CO<sub>2</sub> permeability of 18.5 Barrer and a CO<sub>2</sub>/CH<sub>4</sub> selectivity of 24.8. These transport properties place the blend well below the 2008 upper bound and show that there is commercial interest in a polymer with higher permeability and slightly lower selectivity than Matrimid® and cellulose acetate.

## 6. Emerging membrane materials

In recent years, membrane science has developed new classes of materials and continued to improve existing families of gas separation membranes. The new classes of materials that will be highlighted in this review include thermally rearranged (TR) polymers, polymers of intrinsic microporosity (PIMs), polymerized room-temperature ionic liquids (poly(RTIL)s), and perfluoropolymers. This section will also continue to discuss polyimides, as modification of polyimides continues to be an active area of study for producing high-performance polymers. Mixed matrix membranes are beyond the scope of this review, but additional information can be found elsewhere [283,284].

### 6.1. Thermally rearranged (TR) polymers

Recently, Park et al. [38] reported a new family of polymeric membranes which were termed thermally rearranged (TR) polymers, for CO<sub>2</sub>/CH<sub>4</sub> separations. These materials exhibit high CO<sub>2</sub> permeability, good CO<sub>2</sub>/CH<sub>4</sub> permselectivity and excellent resistance to CO<sub>2</sub>-induced plasticization. For example, the widely studied TR-1 polymer, based on a fluorinated diamine and dianhydride, exhibits a CO<sub>2</sub> permeability of roughly 2000 Barrer and a CO<sub>2</sub>/CH<sub>4</sub> selectivity of roughly 40, with no evidence of plasticization up to 15.2 bar. These TR polymers, which have polybenzoxazole (PBO) structures, are believed to be formed via molecular thermal rearrangement of aromatic polyimides containing hydroxyl groups *ortho* to the imide ring. The general scheme of thermal rearrangement of an *ortho*-functional polyimide is shown in Fig. 27. Upon heating at high temperatures (generally > 400 °C) in an inert atmosphere (such as N<sub>2</sub> or Ar), aromatic poly(hydroxyimide)s thermally rearrange to PBOs with quantitative loss of carbon dioxide. In addition to the extraordinary membrane performance resulting from TR process, a noticeable advantage of the TR approach is that it circumvents the typical insolubility of PBOs by starting from a soluble precursor, which makes industrial processing such as hollow fiber spinning possible [51].

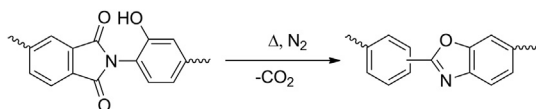


Fig. 27. General scheme of thermal rearrangement (TR) of poly(hydroxyimide)s. End groups are not shown.

The exceptional combinations of permeability and selectivity in TR polymers have been attributed to an increase in fractional free volume and a narrowing of the free volume distribution [38]. Positron annihilation lifetime spectroscopy and molecular modeling have been used to show that thermal rearrangement increases the average size of free volume elements and also makes the size distribution of these elements more uniform [38,285]. The conversion of the initial polyimide to the PBO structure and the resulting increase in free volume leads to both an increase in solubility and diffusivity [286–288]. However, diffusivity provides a much larger contribution than solubility to gas permeability increases. Thus, the rigid nature of the resulting PBO and large increase in fractional free volume after rearrangement are most likely critical to the large increases in permeability seen as a function of thermal rearrangement.

Following initial publications, additional studies have continued to explore the structure–property relationship of TR polymers [289–292]. However, most research efforts and some earlier fundamental studies on imide-to-benzoxazole conversion [293–295] require high temperature treatments (generally > 400 °C) to produce PBOs with good separation properties, and thermal degradation may overlap with the TR process after long treatment times and result in the poor mechanical properties of the TR membranes [286]. Therefore, high processing temperatures can reduce the mechanical properties of TR polymers. Reducing the TR temperature can be achieved by lowering the  $T_g$  of the precursors by using a flexible bisphenol A type dianhydride. Reductions in conversion temperature of up to 100 °C (from ~450 °C to ~350 °C) have been reported using this approach [296].

As next generation gas separation membranes, TR polymers have three major benefits: high combinations of permeability and selectivity, resistance to plasticization, and a high chemical resistance. As shown in Fig. 28, TR polymers often show transport properties well above the 2008 CO<sub>2</sub>/CH<sub>4</sub> upper bound, making these polymers among the best materials known for natural gas processing [101]. The permeability, selectivity, and position relative to the upper bound can vary significantly depending on the nature of the polymer backbone, allowing the transport properties of these polymers to be tuned for specific applications [38].

Polyimides with *ortho*-functional groups other than hydroxyl groups (via either chemical imidization or chemical derivatization of poly(hydroxyimide)s) showed a much higher permeability after the

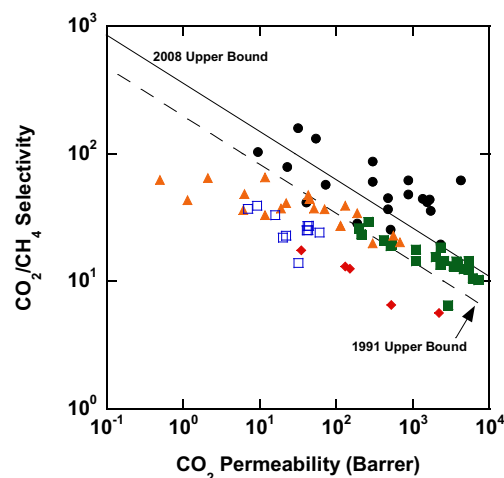


Fig. 28. CO<sub>2</sub>/CH<sub>4</sub> separation properties of various emerging polymer materials reported in the literature. TR polymers (●) from Refs. [38,286]. PIMs (■) from Refs. [297–300]. Perfluoropolymers (♦) from Refs. [216,301–303]. Polyimides (▲) from Refs. [132,151,152,304–306]. Poly(RTIL)s (□) from Refs. [307–309].

TR process than TR polymers derived from its poly(hydroxyimide) analogs [286,287,310,311]. An increase in gas permeability as the size of the *ortho*-functional group increased was obtained with some loss in selectivity [296]. This *ortho*-position group is lost during thermal rearrangement, resulting in a large increase in permeability as thermal rearrangement temperature increases. Thus, the nature of the *ortho*-position group of the TR polymer precursor can be used to tailor permeability and selectivity in TR polymers.

TR polymers are promising candidates for high-performance membrane materials for gas separations. Their practical applications can be significantly advanced if the conversion temperature could be reduced to a more energy-efficient level and commercially available monomers could be used. Additional studies are also necessary to continue examining effects of precursor structure and understand the effects of physical aging on TR polymer thin films.

## 6.2. Polymers of intrinsic microporosity (PIMs)

Among the many efforts to develop organic or organic–inorganic hybrid materials that mimic the structure of zeolites, one recent example is a family of non-network polymers featuring “intrinsic microporosity” (PIMs) introduced by Budd et al. [297,312–315]. The “microporous” structure in PIMs is due to the highly rigid and contorted molecular structures, which prevent efficient packing of the macromolecules in the solid state. Two key features of PIMs are their kinked backbone (spiro-type structure) and highly restricted backbone rotational movements. The “microporosity” of PIMs is termed “intrinsic” as it arises solely from their molecular structures and does not derive from the thermal or processing history of the material. The synthesis of the most studied PIM-1 and PIM-7 materials and a molecular model of PIM-1 are shown in Fig. 29 [314].

The molecular scaffold used to synthesize PIMs is generally derived from an aromatic tetrol and an activated halogen-containing aromatic monomer, which are assembled via double nucleophilic aromatic substitution ( $S_NAr$ ) reactions to form a dibenzodioxane. The efficiency of the double substitution reaction strongly depends on the reactivity of the aromatic halides.

As shown, the spirocyclic feature of 5,5',6,6'-tetrahydroxy-3,3,3',3'-tetramethyl-1,1'-spirobisindane gives a kinked (contorted) conformation, and the formation of ladder-like dibenzodioxane structure results in rigid backbones, contributing to the “intrinsic microporosity”. Other PIMs (PIM-2 to PIM-6) were also prepared in a similar manner using different aromatic tetrols and polyfluorine-containing aromatic compounds. Although the aromatic tetrol in PIM-1 and PIM-7 is commercially available, the activated aromatic halides, particularly the polyfluorine-containing aromatics, are limited in commercial availability and are relatively expensive. Despite the various chemical structures reported in the literature, PIM-1 and PIM-7 were the only two PIMs originally reported to form films sufficient for membrane tests. Moreover, low molecular weights (and low yields) have been issues in the synthesis of PIMs, which can make film formation difficult and contribute to poor mechanical properties. The first example of using PIMs as membrane materials was reported for PIM-1 in organo-selective pervaporation [313]. Membrane samples had a density in the range 1.06–1.09 g cm<sup>-3</sup> and high specific surface areas (600–900 m<sup>2</sup> g<sup>-1</sup>, by nitrogen BET). A promising combination of high flux and selectivity in pervaporative removal of phenols from aqueous solution was reported. Later, as shown in Figs. 28 and 30, gas separation properties were examined for PIM-1 and PIM-7; both of these materials showed transport properties above the 1991 Robeson upper bound and near the 2008 upper bound for both CO<sub>2</sub>/CH<sub>4</sub> and O<sub>2</sub>/N<sub>2</sub> separations [297]. PIMs with varying backbones and pendant groups have also been developed [17,300,316,317]. More recently, some PIM-based systems, *i.e.*, PIM-polyimides, have been prepared via derivatizing the aromatic tetrol into bis(carboxylic anhydride) as the building monomer [298,318,319]. These new PIM-polyimides showed relatively similar properties to original PIMs, and they offered additional structures that could be cast into freestanding films. When compared with TR polymers for CO<sub>2</sub>/CH<sub>4</sub> separations, PIMs, including PIM-polyimides, also exhibit high permeabilities but often lower selectivities. On average, the PIMs form a tighter cluster than the TR polymers, showing less variation in permeability and selectivity across the range of chemical

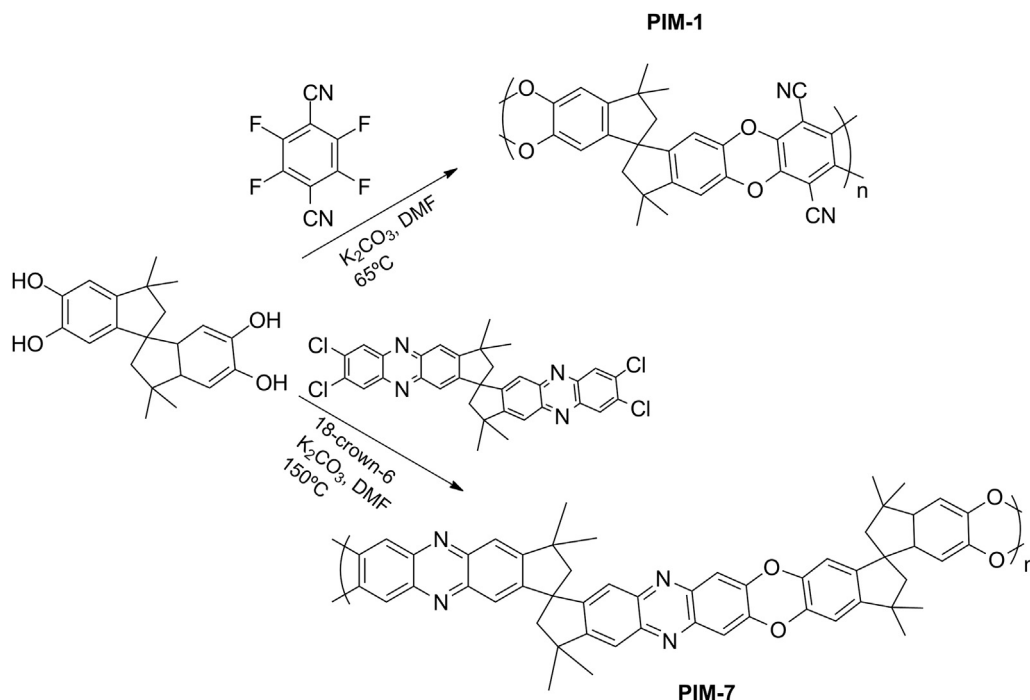
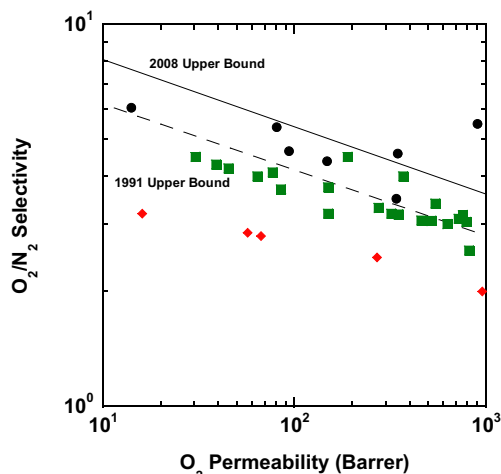


Fig. 29. Synthesis and chemical structures of PIM-1 and PIM-7 [314].





**Fig. 30.**  $O_2/N_2$  separation performance for emerging materials plotted on the 1991 and 2008 Robeson upper bound. TR polymers (●) from Refs. [286,289]. PIMs (■) from Refs. [297–300,317]. Perfluoropolymers (♦) from Refs. [118,248,301,302].

structures examined than TR polymers. For  $O_2/N_2$  separations, as shown in Fig. 30, PIMs also show generally lower selectivities at similar permeabilities when compared to TR polymers.

Despite the relatively low selectivities compared to TR polymers, PIMs show permeability/selectivity combinations that put them at the forefront of currently available membrane materials. In addition, these polymers do not need to be heat treated at the high temperatures necessary to form TR polymers. The removal of this heat treatment step means that PIMs can be solution cast directly into their final form.

The  $CO_2/CH_4$  and  $CO_2/N_2$  mixed gas selectivity in tetrazole-containing PIMs (TZPIMs) do not show a decrease in selectivity under mixed gas conditions [317]. For example, TZPIMs showed a  $CO_2/CH_4$  selectivity of roughly 17.5 at 10 atm  $CO_2$  partial pressure in pure gas measurements and in measurements made using a 50:50  $CO_2/CH_4$  mixed gas stream [317]. Additionally, the  $CO_2/N_2$  mixed gas selectivity at 10 atm  $CO_2$  partial pressure for a 50:50 mixture was 37.5, which was higher than the  $CO_2/CH_4$  selectivity of 27.5 reported for pure gas measurements at the same  $CO_2$  pressure. This increase in selectivity has been attributed to solubility effects [317]. Thus, these PIMs maintain their separation efficiency in a mixed gas environment.

However, additional work is still necessary to further investigate the effect of contaminants on mixed gas permeation properties. Additionally, little information is currently available on physical aging at thicknesses of 1 micron or less, molecular weights, and mechanical behavior of PIMs.

### 6.3. Perfluoropolymers

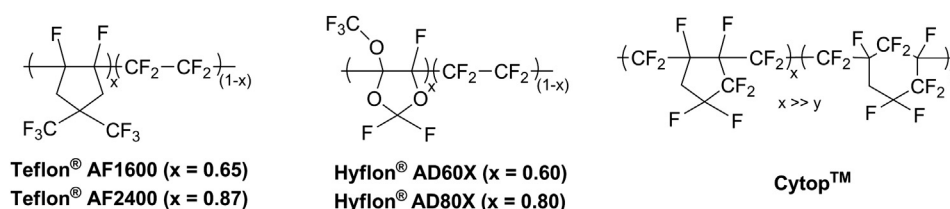
Perfluoropolymers possess many unique properties that have made them suitable for numerous commercial applications, including automotive, aerospace, electronics, chemical, and

medical industries [320]. The strong C–F bonds (485 kJ/mol) and the protective sheath of fluorine atoms around the carbon backbone result in the extremely high chemical resistance and thermo-oxidative stability for these polymers [321,322]. Early generations of perfluoropolymers, such as polytetrafluoroethylene (PTFE), however, did not attract much interest for gas separation membranes, largely due to the low gas permeability and poor processability associated with the semicrystalline nature and lack of solubility in common solvents [320]. A major breakthrough in the use of perfluoropolymers for gas and vapor separation membranes was the development of a new family of amorphous glassy perfluoropolymers, *i.e.*, Teflon® AF, by DuPont in the late 1980s [323,324]. Teflon® AF polymers are copolymers based on tetrafluoroethylene and 2,2-bis(trifluoromethyl)-4,5-difluoro-1,3-dioxole, and the chemical structure of these completely fluorinated (*i.e.*, perfluoro-) copolymers is shown in Fig. 31. The bulky dioxole monomer disrupts chain packing in these materials so, unlike poly(tetrafluoroethylene), the commercial grades of Teflon® AF are wholly amorphous. Therefore, high gas permeability and much improved processability, related to their high solubility in perfluorinated solvents, are obtained for these polymers. Similarly, other amorphous perfluoropolymers were developed, such as Hyflon® AD (produced by Solvay), and Cytop™ (by the Asahi Glass Company) [325–328]. The gas transport properties of these materials have been extensively studied [118,321,329–335].

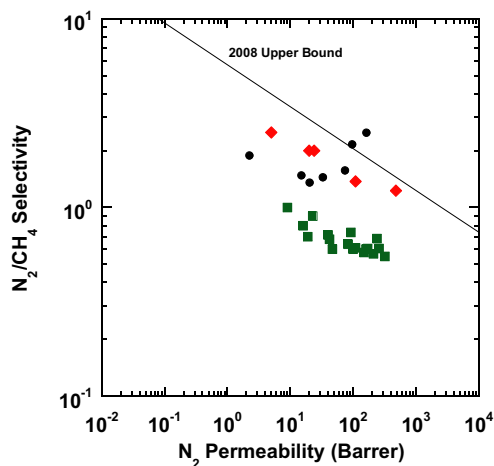
As seen in Figs. 28 and 30, for gas separations such as  $CO_2/CH_4$  and  $O_2/N_2$ , perfluoropolymers show gas separation properties well below the 2008 upper bound. However, one potential use for this class of polymers is the separation of nitrogen from natural gas. This separation, simplified to  $N_2$  and  $CH_4$ , would be helpful to improve the heating quality of natural gas. Unfortunately, the physical properties, such as size and condensability, are similar for these two gases making their separation difficult [18]. Fig. 32 shows the  $N_2/CH_4$  separation performance of perfluoropolymers and other modern membrane materials. Perfluoropolymers, along with TR polymers, exhibit performance near the 2008 upper bound for this difficult separation [248,301,302]. This improved performance for  $N_2/CH_4$  separations is attributed to fluorinated polymers having high light gas solubility relative to hydrocarbon solubility [118,336]. The low solubility of hydrocarbons in perfluoropolymers has been implicated in improved plasticization resistance for hydrocarbon-based separation (*e.g.*, olefin/paraffin separations) [118]. The reason for the low solubilities of hydrocarbons in perfluoropolymers is not well-understood, and the details are discussed at length by Merkel et al. [118].

### 6.4. Polyimides

Of the emerging polymers listed in this section, polyimides are among the most studied materials for gas separation polymers. Although aromatic polyimides are used as gas separation membrane materials today, the polyimide family encompasses a large number of structural variants, and many studies on polyimide gas separation membranes indicate that the separation properties can



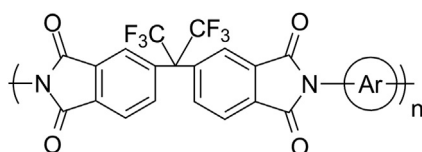
**Fig. 31.** Chemical structure of commercial amorphous perfluoropolymers.



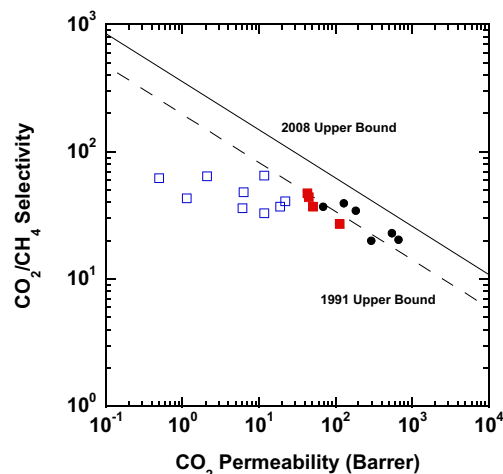
**Fig. 32.**  $N_2/CH_4$  upper bound performance for various emerging membrane materials. TR polymers (●) from Refs. [286,289]. PIMs (■) from Refs. [297–300,317]. Perfluoropolymers (♦) from Refs. [118,248,301,302].

be tailored by using different dianhydride and diamine monomers. Structure/property studies in the late 1980s showed that restricting both chain mobility and chain packing can simultaneously increase permeability and selectivity in polyimides [104,105]. In particular, polyimides with a hexafluoro substituted carbon (e.g.,  $-C(CF_3)_2-$ ) in the polyimide backbone have been the object of much research, as they tend to be considerably more gas-selective, particularly toward  $CO_2$  relative to  $CH_4$ , than other glassy polymers with comparable permeabilities. The hexafluoro group in the dianhydride moieties (e.g., hexafluoro isopropylidene diphthalic anhydride (6FDA)) increases the stiffness of the polymer chain, and it frustrates chain packing due to the steric hindrance from the  $CF_3$  groups, which serve as molecular spacers and chain stiffeners in the polymer [97,337]. The general structure of 6FDA-based polyimides is shown in Fig. 33. These initial 6FDA-based polymers are labeled as “First Generation Polyimides” in Fig. 34.

In addition to their relatively high cost, major drawbacks of 6FDA-based polyimides in gas separation are their tendency to plasticize and undergo physical aging [132,151,163]. There has been significant research to suppress plasticization and to retard the changes in the membrane performance caused by physical aging. In this regard, cross-linking may be an effective method for improving membrane stability, specifically referring to these two properties [163,338]. Various curing techniques have been reported to cross-link the polyimides, i.e., thermal cure, UV irradiation, ion beam irradiation, or by reactions with added compounds [152,157,162,339–343]. Examples of such cross-linked polymers are labeled as “Treated polyimides” in Fig. 34, which highlights changes in polyimide transport properties as polyimide materials have advanced. In Fig. 34, “First Generation Polyimides” are those studied in the 1980s, specifically high-performance  $CF_3$ -containing materials, while the “Current Generation Polyimides” are modern polyimides. Both of these groups will be discussed more specifically in the following paragraphs.



**Fig. 33.** General structure of 6FDA-based aromatic polyimides (Ar represents the aromatic moieties in the diamine).



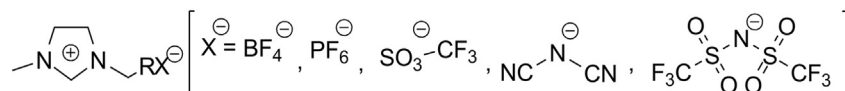
**Fig. 34.** Robeson upper bound plot for  $CO_2/CH_4$  separations. First generation polyimides (□) from Ref. [104]. Current generation polyimides (●) from Refs. [151,304,306]. Treated polyimides (■) from Ref. [132].

Current generation polyimides populate much of the area near the 2008 Robeson  $CO_2/CH_4$  upper bound. Of the 16 polymers highlighted as “close to the present upper bound” in Robeson’s analysis, 7 are polyimides [101]. The majority of these polyimides contain 6FDA dianhydride and bulky diamines. For example, two of these polymers were made up of 6FDA and aromatic diamines containing three or four pendant methyl groups. These bulky diamines help disrupt chain packing and increase free volume. The 6FDA-durene polymer, with four methyl groups, has a  $CO_2$  permeability of 678 Barrer and a  $CO_2/CH_4$  selectivity of 20.2 [304]. The 6FDA-TMPD polymer, with three methyl groups, has a  $CO_2$  permeability of 556 Barrer and a  $CO_2/CH_4$  selectivity of 22.7 [306]. These polymers show relatively high selectivities at high  $CO_2$  permeabilities, putting them near the upper bound for  $CO_2/CH_4$  separations. Examples of these polymers are shown in Fig. 34 as “Current Generation Polyimides.”

Recently, highly soluble polyimides with very high gas permeabilities have also been reported [151,298,304,306,318,344–346]. These polyimides tend to have common characteristics in their backbone structure featuring bulky side groups and/or non-coplanar spatial configuration, which make them highly soluble and permeable due to lack of efficient chain packing (i.e., high free volume). However, the synthesis of such polyimides is much more complicated than that of typical polyimides because it generally involves multiple steps of synthesis and purification to obtain monomers at high enough purity to produce high molecular weight polyimides. A recent review of the design of polyimide-based membranes for  $CO_2$  removal from natural gas can be found elsewhere [337].

### 6.5. Room temperature ionic liquids

Room-temperature ionic liquids (RTILs) are defined as organic/inorganic salts having a melting point lower than  $100^\circ C$  and are typically non-volatile and inflammable liquids [347]. Because of their unique chemical and physical properties, RTILs have attracted attention for various applications [348]. The use of RTILs as solvents for  $CO_2$  capture has been an active research area for these materials due to their low vapor pressure, which makes them environmentally friendly [349]. In addition to being used as absorbents in  $CO_2$  capture, RTILs have been impregnated in porous materials to create supported ionic liquid membranes (SILMs). The ethyl-methylimidazolium dicyanamide ([emim][dca]) shown in Fig. 35,



**Fig. 35.** Chemical structures of alkyl-imidazolium type ionic liquids. The cation is ethylmethylimidazolium ([emim]) and the anions, from left to right, are tetrafluoroborate ([BF<sub>4</sub>]), hexafluorophosphate ([PF<sub>6</sub>]), dicyanamide [dca], and bis(trifluoromethanesulfonyl)amide [CF<sub>3</sub>SO<sub>3</sub>] [350,351].

has a CO<sub>2</sub> permeability of roughly 600, a CO<sub>2</sub>/N<sub>2</sub> selectivity of 20 and a CO<sub>2</sub>/CH<sub>4</sub> selectivity of 11 [350]. The CO<sub>2</sub>/N<sub>2</sub> permeabilities and selectivities were better than many common polymers as of 2004 [350–352]. The ionic liquids that have been examined most in SILMs have an organic cation and either an organic or an inorganic anion, in particular, the 1-*n*-alkyl-3-methylimidazolium cation with various anions [353]. The general structure of alkyl-imidazolium ionic liquids with some typical anions is presented in Fig. 35. The CO<sub>2</sub> solubility of ionic liquids depends on the nature of their cations, anions, and substituents [350,351,354].

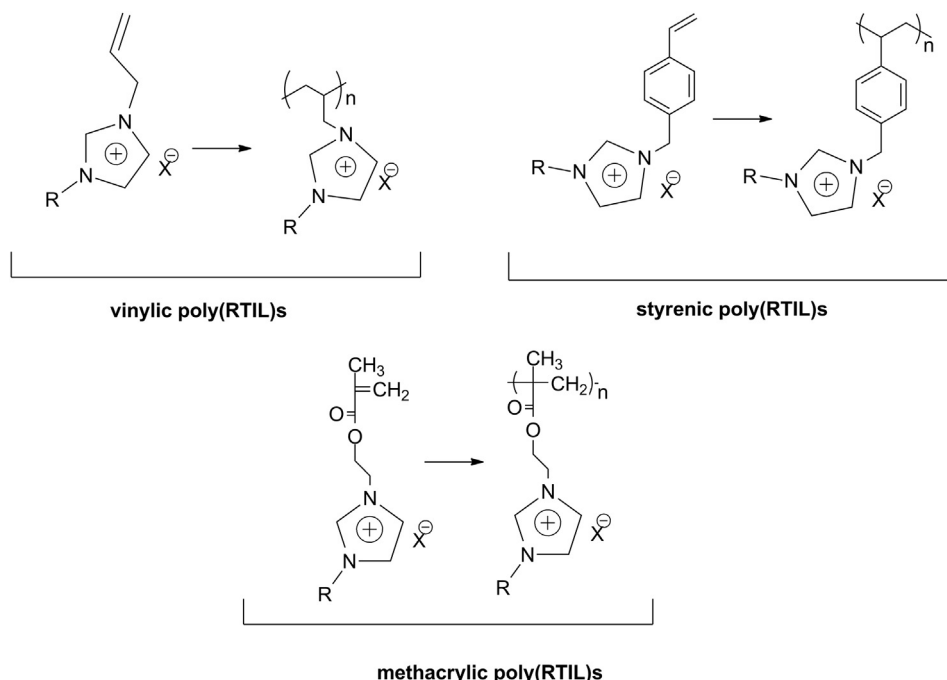
An upper bound has been created for these SILMs, and future improvements are primarily expected in selectivity rather than permeability [353]; however, significant increases in selectivity without losses in permeability would be necessary for these polymers to perform near the upper bound. For example, when compared to the most recent 2008 upper bound, a polymer with a CO<sub>2</sub> permeability of 600 would need a CO<sub>2</sub>/N<sub>2</sub> selectivity of roughly 43 to lie on the upper bound, meaning an SLIM with the CO<sub>2</sub> permeability of [emim][dca] would need double the selectivity to lie on the 2008 upper bound [101]. These materials are also often tested at low trans-membrane pressures, such as 0.2 bar, for [emim][dca] discussed earlier, due to the stability of the impregnated film at higher pressures, but it has been suggested that such these films could withstand pressure differences as high as 3 bar [350]. These stability concerns helped lead to the development of a polymerized form of these room-temperature ionic liquids.

Polymeric ionic liquids, or poly(ionic liquid)s (poly(RTIL)s), exhibited higher CO<sub>2</sub> sorption capacity than the corresponding monomeric ionic liquids [309,355–358]. The chemical structures of

common types of poly(RTIL)s and their monomers are shown in Fig. 36.

These poly(RTIL)s are film forming, so they permit the preparation of polymer films containing high concentrations of ionic liquid groups. The most studied poly(RTIL)s for CO<sub>2</sub> absorption/separation are alkyl-imidazolium type polymers featuring alkyl-imidazolium salts tethered to a polystyrene or polyacrylate backbone. Poly(RTIL)s with a poly(ethylene oxide) backbone have also been synthesized via post-modification of a PEO-like polymer with small molecule RTILs [358]. Poly(RTIL)s with short side chains of EO units were produced via direct reaction of ethylene oxide and RTILs followed by tethering to a polystyrene backbone [359,360]. Generally, most of the imidazolium-type poly(RTIL)s with polystyrene or polyacrylate backbones have been synthesized from their corresponding vinyl RTIL monomers by conventional free radical polymerization in bulk or in solution. Such cationic poly(RTIL)s bearing a large variety of counter anions (X<sup>−</sup>), such as tetrafluoroborate (BF<sub>4</sub><sup>−</sup>) and hexafluorophosphate (PF<sub>6</sub><sup>−</sup>), have been reported. In addition to linear poly(RTIL)s, cross-linked poly(RTIL)s were also synthesized via free radical polymerization of multifunctional acrylic or styrenic RTIL monomers. A comprehensive review of the synthesis of various polymeric ionic liquids can be found elsewhere [348].

Additional formulations of poly(RTIL)s have been made by combining poly(RTIL)s and RTILs. These composites are formed by polymerizing RTILs in the presence of non-polymerizable RTILs [307,308,361]. These materials show no phase separation after permeation experiments performed at 2 bar, and the strong interaction between polymerized and “free” RTILs is anticipated to hold



**Fig. 36.** Chemical structure of poly(RTIL)s with polystyrene or polymethacrylate backbone. Where R = H or alkyl substitution, X = anion (BF<sub>4</sub>, PF<sub>6</sub>, CF<sub>3</sub>SO<sub>3</sub>, etc.).

the “free” RTILs in place better than the typical capillary forces in SILMs [307]. Including these “free” RTILs into the polymer matrix increases the gas permeability due to increased diffusion through the unbound RTILs [307,308]. For example, one poly(RTIL) showed a factor of 4 increase in permeability and a 20% increase in  $\text{CO}_2/\text{N}_2$  selectivity with the incorporation of 20% “free” RTIL [308]. However, Fig. 37 shows various poly(RTIL) and composites on the 2008 Robeson upper bound for  $\text{CO}_2/\text{N}_2$  separation, which is widely studied using these materials [308], and many of these RTIL-based polymers show permeabilities much lower than TR polymers or PIMs with similar selectivities.

## 7. Emerging commercial membrane gas separations

As membrane technology matures and new materials are produced, the range of applications may expand. Several gas pairs of interest and their applications are shown in Table 5. Many of these applications require advances in membrane materials to tune transport properties and increase chemical resistance to aggressive feed conditions.

### 7.1. Olefin/paraffin separation

In 2009, over 22 million metric tons of ethylene, and over 13 million metric tons of propylene were produced in the US, making ethylene and propylene the two largest-volume organic chemical feedstocks [364]. The variety of synthetic chemicals derived from these olefins highlights their utility. Ethylene is used in the synthesis of polyethylene, ethylene oxide, ethylene chloride, and ethylbenzene, among others [365]. Propylene is used in the synthesis of polypropylene, acrylonitrile, oxo-alcohols, cumene, and propylene oxide [365].

Olefin/paraffin separation is accomplished through a distillation process that requires high capital cost and high energy consumption. A large ethylene unit can cost several billion dollars [366], and a large portion of the cost is devoted to the olefin/paraffin separation train [367]. Because olefin/paraffin components have very similar condensabilities, ethylene/ethane and propylene/propane splitters are large, standing between 200 and 300 feet high, containing over 100 trays, and operating with reflux ratios between 10 and 15 [184,368]. The total energy cost for these

**Table 5**  
Emerging commercial gas separations.

Gas pair	Application
$\text{CO}_2/\text{N}_2$	Carbon capture
$\text{C}_3\text{H}_6/\text{C}_3\text{H}_8$	Propylene/propane
$\text{C}_2\text{H}_4/\text{C}_2\text{H}_6$	Ethylene/ethane
$\text{EtOH}/\text{H}_2\text{O}$	Ethanol/water

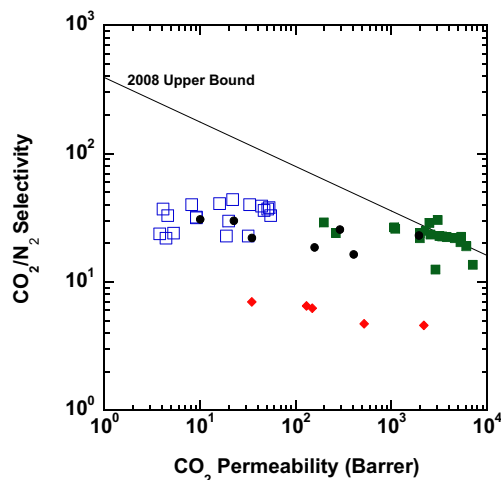
operations is approximately 0.12 Quads (1 Quad =  $1 \times 10^{15}$  BTU =  $1.06 \times 10^{15}$  kJ) per year [367], which accounts for 6% of the total distillation energy consumption in the US, making olefin/paraffin separation the fourth most energy intensive separation process in the US, behind only petroleum, crude oil, and liquefied petroleum gas [71].

Worldwide, 99% of ethylene and over 50% of propylene are produced from steam cracking of paraffins [369]. Additionally, propylene is also derived from fluidized catalytic cracking, propane dehydrogenation, oxygenate conversion, and isomerization [365,370]. These processes produce an assortment of chemicals that require extensive treatment, making separations an important part of olefin production. The opportunity for capital and energy savings in olefin/paraffin separations has spurred research to explore the feasibility of polymer membranes for these separations [174,370–373]. Koros et al. have defined a polymer upper bound, fit by the Freeman model [109], for propylene/propane [372] analogous to those originally described by Robeson for other gas pairs [100,101]. Still, as Table 6 demonstrates, ethylene/ethane and propylene/propane separation is inherently difficult because of the small differences in size and condensability of these gas molecules. These Lennard-Jones diameters are from viscosity data, as there are not sufficient transport properties available in the literature to obtain a correlation diameter similar to those Robeson et al. derived for light gases [113]. Furthermore, the best performing polymers for these separations are glassy [372], and at high gas activities, or in the presence of mixtures, there is often a significant loss in selectivity due to plasticization [174].

The propylene/propane upper bound is shown in Fig. 38, and several upper bound materials are highlighted. Interestingly, while 6FDA-based polyimides define this upper bound, they are inherently susceptible to plasticization. Fig. 39 shows pure and mixed gas permeability of  $\text{C}_3\text{H}_6$  and  $\text{C}_3\text{H}_8$  for 6FDA-TrMPD at 323 K [174]. At these low gas activities, typical dual-mode behavior is observed for both gases [374]. The mixed-gas results in Fig. 39 demonstrate the effect of plasticization on glassy polymers for propylene and propane. At feed pressures near 2 bar, propane permeability begins to increase with increasing pressure, which is an indication of plasticization [18,375]. Additionally, due to competitive sorption, selectivity is lower in mixed-gas experiments compared to pure-gas experiments [174].

Finding high-performance polymeric materials that operate under realistic feed conditions will be essential for the development of membranes in olefin/paraffin separation. To date, the lack of acceptable materials has been a key reason that this separation has not been reduced to practice, and advances in materials science will be needed to realize the full possibility of polymer membranes for these separations. Two major applications for membranes in olefin/paraffin separations would be: (1) to recover propylene vent gas from a propylene reactor [9], and (2) to incorporate membranes into the separation train with membrane-distillation hybrid systems [184].

A long-range goal of using membranes in propylene/propane separation would be to replace the entire propylene/propane distillation column. However, compared to currently available



**Fig. 37.**  $\text{CO}_2/\text{N}_2$  separation properties of various emerging polymer materials. TR polymers (●) from Refs. [286,289]. PIMs (■) from Refs. [297–300,317]. Perfluoropolymers (♦) from Refs. [118,248,301,302]. Poly(RTIL)s (□) from Refs. [101,308,309,359,361–363].



**Table 6**

Size and critical temperature of ethylene, ethane, propylene and propane.

Gas	Lennard-Jones diameter (Å)	Critical temperature (K)
Ethylene	4.16	282.5
Ethane	4.44	305.3
Propylene	4.68	365.2
Propane	5.12	369.9

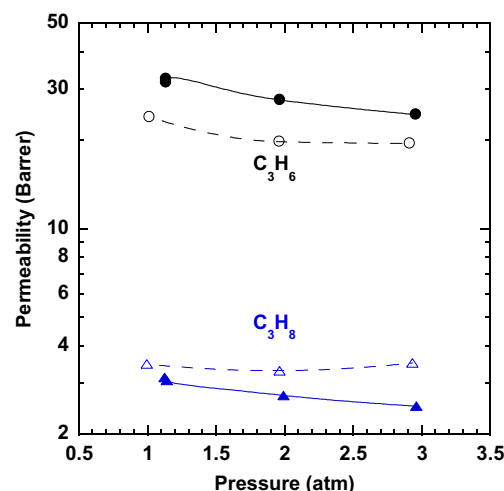
polymers, materials with higher selectivity, adequate flux, and good stability would be required [9,14,372]. Two and three-stage membrane systems have been proposed to make chemical and polymer grade propylene, respectively [365]. The two-stage system could operate economically using membranes having propylene/propane selectivities of 35 and propylene permeabilities of 1 Barrer [365]. The three-stage system could operate with membranes having a selectivity of 15 and a propylene permeability of 2 Barrer, but far lower energy costs result from more selective membranes (e.g., selectivity = 35, permeability = 1 Barrer) in the intermediate membrane module [365].

## 7.2. Ethanol/water

In recent years, significant research and government policy focused on developing renewable biofuels as an alternative to petroleum [377]. An important subset of biofuels is bioethanol, which is used as an additive to gasoline. While a liter of ethanol only contains 66% of the energy in a liter of gasoline, it has a higher octane rating. Additionally, mixing ethanol with gasoline improves exhaust gas emissions by reducing carbon monoxide, hydrocarbons, sulfur content, and carcinogens [378].

Bioethanol is produced from fermentation of a number of sugar-rich sources, including corn, sugarcane, wheat, sugar beets, etc. [378]. Furthermore, starch and cellulose, which can easily be converted to sugar, are also used to produce bioethanol [378]. Fermented products from these sources are generally dilute, containing only 5–12 wt.% ethanol [379]. Therefore, separation steps are required to reach the fuel-grade ethanol purity levels of greater than 99% [14].

Because of the relative volatilities of ethanol and water at low ethanol concentrations, distillation is an obvious choice for separating these compounds. However, ethanol/water mixtures form an



**Fig. 39.** 6FDA-TrMPD propylene and propane permeability. Filled symbols are pure gas results, and unfilled symbols are mixed gas results. Data was taken at 323 K, and mixed gas data is for a 50:50 mixture. Adapted from Ref. [174]; copyright Elsevier.

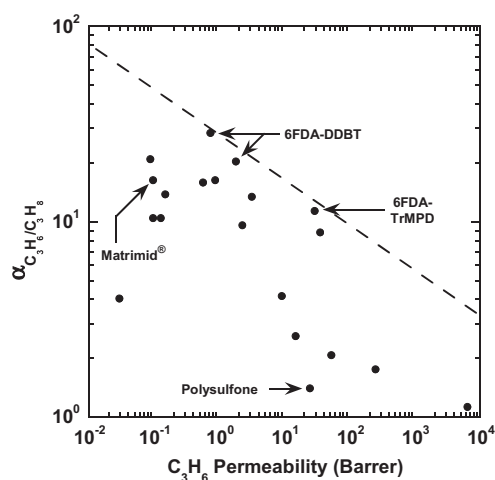
azeotrope at approximately 95.7 wt.% ethanol [380], and an alternative process to distillation is needed to reach fuel-grade ethanol purity, which must contain less than 1% water [381]. Today, most large-scale ethanol/water mixtures are separated using two stages of distillation followed by molecular sieve adsorption to break the azeotrope [382]. The first step in this distillation process is a beer column, used to elevate the ethanol concentration from the fermentation broth to approximately 50%, followed by a rectification column, which raises the ethanol concentration near the azeotrope to approximately 93% [383]. This stream is then fed to a molecular sieve bed to increase ethanol purity to over 99% [384].

Alternative separation processes, including gas stripping, steam stripping, liquid–liquid extraction, adsorption, pervaporation, and vapor permeation have also been pursued for ethanol/water purification [382]. Of these alternatives, pervaporation and vapor permeation offer the best option for replacing molecular sieves in small-scale plants. For plants that treat less than 5000 L/h of feed, pervaporation is less expensive than using molecular sieves, but as feed rate increases, distillation/adsorption processes become more economical [14].

A basic schematic of pervaporation and vapor separation systems is shown in Fig. 40. In pervaporation a liquid feed must be vaporized and permeated through a membrane. Therefore, the separation factor associated with pervaporation is equal to the separation factor of evaporation times the separation factor of permeation [14]. The driving force for permeation comes from the permeate-side condenser, which, during condensation of the permeate, creates a vacuum at the downstream side of the membrane.

There are a number of challenges with using polymer membranes for ethanol/water separation. These challenges can be separated into two categories: membrane performance and process integration. More specifically, needs include: higher membrane selectivity, higher membrane flux, better membrane stability, better heat/energy integration, and better process design to increase pervaporation temperature [385].

Interestingly, membrane selectivity and flux are not a major concern, at least in terms of material design, for these separations. The most widespread ethanol–water pervaporation membrane used today is the PERVAP™ membrane produced by Sulzer [386]. These membranes are cross-linked polyvinyl alcohol [386], have ethanol/water selectivities of approximately 200 [14], and their



**Fig. 38.** Propylene/propane upper bound. The data were taken between 26 °C and 50 °C, and for feed pressures between 1 and 4.1 bar. Polysulfone and several fluorinated polyimides (Matrimid®, 6FDA-DDBT, and 6FDA-TrMPD) are highlighted [372]. The two 6FDA-DDBT points are reported from two independent studies [372,376]. Adapted from Refs. [372]; copyright Elsevier.

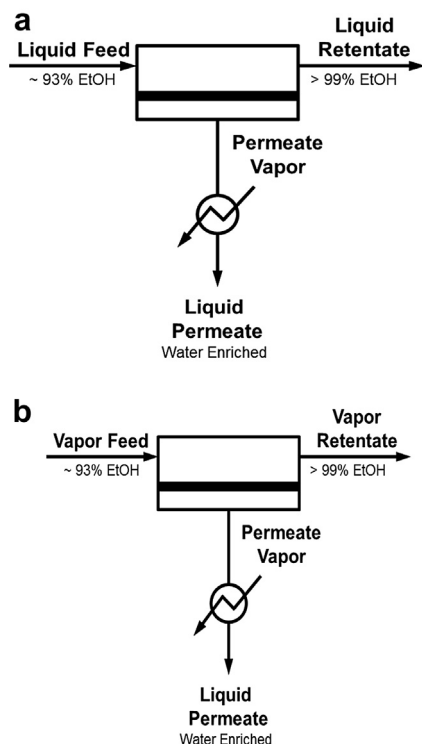


Fig. 40. Comparison of (a) pervaporation and (b) vapor permeation.

performance (*i.e.*, selectivity and flux) at various feed temperatures and compositions have been summarized by Chapman et al. [387]. Industrially, this high selectivity can only be achieved when the process is designed with a high feed/permeate pressure ratio. Therefore, the condensers in Fig. 40 are essential for creating permeate-side vacuum, thus preventing the pervaporation/vapor permeation system from operating in a pressure ratio limited regime. Still, most ethanol/water plants are relatively small, and membrane module costs for these small systems can be 15–40% of the total plant cost [14]. Therefore, increasing membrane flux can reduce the area of membrane needed to make a separation and can reduce system costs.

Membrane stability is also a critical requirement for separation performance. Hot ethanol/water solutions are aggressive feed streams, and for this reason, most pervaporation tests for polymeric films are run at low temperatures. Chapman et al. have reviewed the literature for pervaporation tests on poly(vinyl alcohol), chitosan, alginate, polysulfone, polyimides, polyamides, polyelectrolytes, and polyaniline, among other polymers [387]. With a few exceptions, most of these experiments were performed at temperatures at or below 60 °C. Many polymers have limited stability as the system temperature increases to higher temperatures. For example, cellulose esters, poly(vinyl alcohol), chitosan, and fluorinated ion-exchange membranes begin to show signs of degradation after exposure to 100 °C water for only 24 h [388,389]. Furthermore, polyimides are susceptible to hydrolysis [390], and condensation on polyimide films can lead to degradation under typical pervaporation conditions [389].

One approach for advancing market penetration of membranes in ethanol/water separation is to replace both the distillation column and molecular sieve adsorption unit from the separation process. This approach, which operates with a hybrid stripper column/vapor permeation unit, has been pursued by Membrane

Technology and Research (MTR) [388,389,391]. Because heat/energy integration and process design have prevented widespread acceptance of membranes for ethanol/water separation [385], MTR has addressed these problems by using membrane materials that can operate under very aggressive vapor permeation conditions. In pervaporation, 3–5 membranes are typically used in series, and heat must be applied to each feed stream to vaporize the liquid permeate [14]. Alternatively, at slightly higher temperatures, vapor permeation can be run isothermally, greatly simplifying the heat integration process for membrane modules [14]. Additionally, higher trans-membrane flux is obtained by operating the vapor permeation system at elevated temperatures.

Despite the advantages of running ethanol/water separation with vapor permeation, finding polymer materials that are stable under these conditions is challenging. MTR has designed their vapor permeation system around perfluoropolymers (*e.g.*, Cytop™ or Hyflon® AD) [389], and they have described some of the important criteria for selecting membranes for ethanol/water separation [388]. Development of other highly stable polymer materials is important to improving membranes in ethanol/water separations.

### 7.3. Carbon capture

Combustion of fossil fuels (*e.g.*, coal, petroleum, and natural gas) is widely used to produce energy for electricity, industrial processes, and transportation. These processes result in high CO<sub>2</sub> emission to the atmosphere, which has been linked with global warming [392]. In 2007, 37 Gt of CO<sub>2</sub> were released into the atmosphere, and 85% of that CO<sub>2</sub> resulted from global energy use [393]. Furthermore, in the United States, 40% of the CO<sub>2</sub> emitted was attributed to energy production, 30% resulted from industrial processes, and 30% from transportation [393]. Research and government policy are being directed at developing methods of capturing and storing CO<sub>2</sub> to reduce climate change [394,395].

The Department of Energy has recently identified membranes as one of three basic research needs for separation processes in carbon capture [393]. For stationary power production, which appears to be well suited for membrane separations, there are three potential locations for membrane integration in the process stream: post-combustion, pre-combustion, and oxo-combustion. The potential location of membranes in these processes is shown in Fig. 41 [396], and the relevant gas separations are listed in Table 7. For post-combustion capture, membrane units would be installed downstream of the combustion process, and low pressure CO<sub>2</sub> would be separated from N<sub>2</sub> before sequestration. For pre-combustion capture, high pressure CO<sub>2</sub> would be separated from syngas (CO and H<sub>2</sub>) before combustion. Oxy-combustion would use membranes to produce high-purity oxygen feeds for combustion reactions, therefore creating a CO<sub>2</sub> and H<sub>2</sub>O stream that would be dehydrated and compressed.

Fig. 41 presents the basic gas pairs that would need to be separated for each carbon capture route. The actual separation processes, however, are complex. Post-combustion membranes would be installed downstream of a coal-fired power plant. A typical 550 MW plant produces 2 MMscf of flue gas per minute, and only 12–14% of that gas is CO<sub>2</sub> [393]. Flue gas also contains water, oxygen, nitrogen, and trace amounts of sulfur oxides and nitrogen oxides [393]. Because of the low concentration of CO<sub>2</sub> in post-combustion flue gas, a number of studies have estimated that high membrane CO<sub>2</sub>/N<sub>2</sub> selectivities (*i.e.*, ~100) would be needed for membranes to compete with other technologies such as amine absorption, but most of these studies model carbon capture with single-stage membrane systems [397–399]. MTR has recently

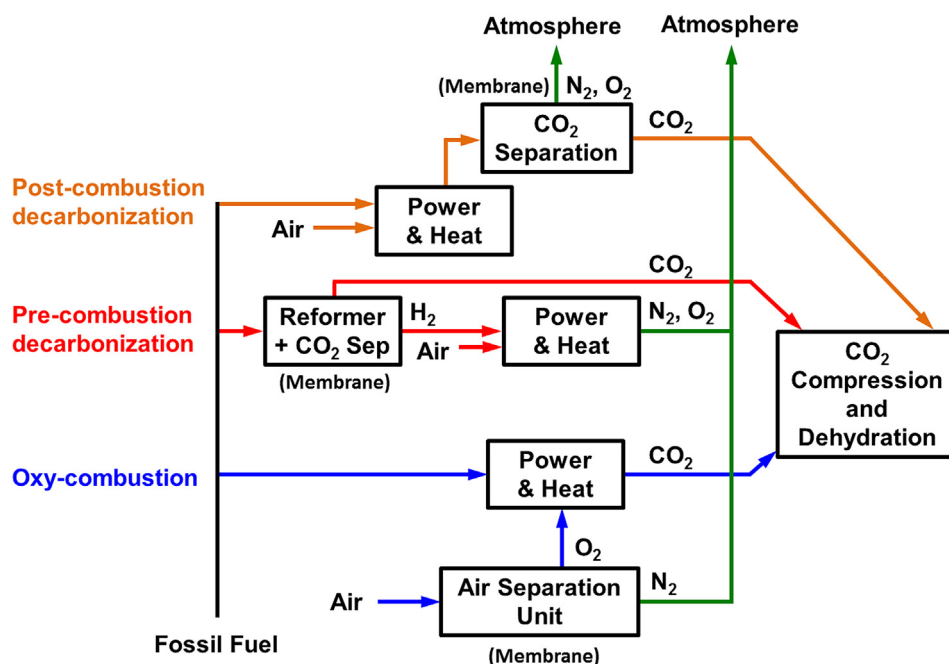


Fig. 41. Potential process locations for membranes in carbon capture applications. Membranes locations are shown in parentheses. Adapted from Ref. [396]; copyright Elsevier.

developed Polaris™ membranes, having a  $\text{CO}_2/\text{N}_2$  selectivity of 50 and a permeance of 1000 GPU ( $10^{-6} \text{ cm}^3(\text{STP})/(\text{cm}^2 \text{ s} (\text{cmHg}))$ ), for carbon capture applications [396,400]. With extensive process optimization, a two-stage membrane system, combining slight feed compression, partial permeate vacuum, and a sweep operation, could be competitive with other technologies [396].

Oxy-combustion carbon capture is a very challenging application for polymer membranes. High purity  $\text{O}_2$  must be fed to the reactor, and current state-of-the-art polymer membranes cannot achieve the required purities in a single stage, as described in the Air Separation section of this review.

Although pre-combustion carbon capture has a much more favorable gas composition of approximately 40%  $\text{CO}_2$ , 56% hydrogen, and the balance is carbon monoxide, methane, nitrogen, argon and hydrogen sulfide [66], very high temperatures are required to economically achieve these separations. For example, membrane operating temperatures of approximately 700 °C are preferred for reforming reactions, and temperatures between approximately 300 °C and 500 °C are preferred for water gas shift reactors [393]. At these high temperatures and high concentrations of  $\text{CO}_2$ , it is essentially impossible to find high performing and stable polymer membranes. Still, recent research efforts have focused on  $\text{CO}_2$  and  $\text{H}_2$  selective membranes for these applications.  $\text{CO}_2$  selective membranes operate best at low temperatures [100,101,401], making process integration of these membranes more difficult. Several high temperature,  $\text{H}_2$  selective polymer membranes have been investigated for pre-combustion applications. Polybenzimidazole membranes, which have been studied for a variety of applications [402–405], currently have the highest

operating temperature of polymer membranes (e.g., 200 °C) [406], and MTR has tested membranes at 150 °C [66]. More research is needed to investigate polymer membrane stability at high temperatures, and better membrane process integration is needed for pre-combustion applications. These higher operating temperatures would be an added benefit to membrane technology, as many of the competing technologies, such as amine absorption, operate at near ambient temperatures or below [96].

## 8. Conclusions

Materials research during the past 30 years has allowed membrane technology to advance into additional separations and has expanded the viability of current membrane separations. Current commercial membrane materials, including polysulfone, 2,6-dimethylphenylene oxide (PPO), aramids, polyimides, modified polycarbonates, and cellulose acetates, have gas transport properties below current state of the art membrane materials, and some are susceptible to plasticization in certain separations, but many of these polymers have well established synthesis procedures.

Many new families of polymers have been developed to improve permeability and selectivity, and some of these polymers also offer resistance to plasticization. These polymers, including thermally rearranged (TR) polymers, polymers of intrinsic microporosity (PIMs), polymerized room-temperature ionic liquids, perfluoropolymers, and current generation polyimides, are promising materials for membrane gas separations. Within the polymers listed above, TR polymers and PIMs typically show the best permeability and selectivity when placed on an upper-bound plot, and both of these families of polymers have only recently been investigated for gas separations, so additional research may improve their transport properties. Polymerized ionic liquids generally show less favorable permeability/selectivity combinations than other new classes of materials, but still show better performance than current commercial materials. Perfluoropolymers show permeability and selectivity values that are specifically promising for  $\text{N}_2/\text{CH}_4$  separations, and polyimides have

Table 7

List of primary gas separations for carbon capture applications with membranes.

Carbon capture application	Primary gas separation
Post-combustion	$\text{CO}_2/\text{N}_2$
Pre-combustion	$\text{CO}_2/\text{H}_2$
Oxy-combustion	$\text{O}_2/\text{N}_2$

continued to remain at the forefront of many separations after various modifications of the polymer backbone and functional groups.

Major membrane-based gas separations include hydrogen recovery, air separation, and natural gas purification. However, materials improvements and a changing industrial environment have expanded opportunities for membranes within olefin/paraffin separations, ethanol/water separations, and carbon capture applications. These separations and materials advances will continue to allow the membrane field to evolve and grow.

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epoxy polymeric matrix resins for carbon fiber composites. He has many contributions to the anionic and ring opening polymerization of dienes, epoxides and organosiloxanes. His current focus is on polymeric materials for carbon fibers and membranes for fuel cells, reverse (or forward) osmosis water purification and gas separation systems. He has 50 patents, over 500 publications and has received numerous awards, including election to the National Academy of Engineers (1994), The International SPE award, the Plastics Hall of Fame, the ACS Awards in Applied Polymer Science (2002) and Polymer Chemistry (2008), Fellow of the American Chemical Society (2009) and the Charles Overberger Award (2013). He has graduated more than 100 PhD chemists and engineers and remains one of the leaders in polymer science and engineering, with a current group (2013) of 13 students and postdoctoral fellows.



**Lloyd M. Robeson** received his BS degree in Chemical Engineering from Purdue University in 1964 and his PhD in Chemical Engineering from the University of Maryland in 1967. He was employed at Union Carbide Corporation from 1967 to 1986 and at Air Products and Chemicals, Inc. from 1986 to 2007 at which time he retired. His career in industry was primarily involved with polymer science and engineering. During his industrial career he worked in areas of polymer blends, polymer composites, permeability, engineering polymers, extrusion polymerization, flame retardant polymers, thermoplastic polyurethanes, polyolefins and ethylene copolymers, environmental stress failure, biomedical applications, carbon fiber reinforced thermoplastics, water soluble polymers, polymer processing, reactive extrusion compatibilization, dynamic mechanical properties, block and graft copolymers, emulsion polymers, adhesives, water soluble polymers, polymers for electronic applications, conducting polymers and membrane separation processes. He is a member of the National Academy of Engineering (class of 2001) and is in the College of Engineering Innovation Hall of Fame at the University of Maryland along with Distinguished Alumnus Honors from both Purdue University and the University of Maryland. His awards include the Industrial Polymer Award: Polymer Division of ACS (2002), the Applied Polymer Science Award of ACS (2003) and the SPE International Award (2012). His publications number ~100 including two books on the subject of polymer blends. He is the (co)author of 100 US patents which has translated into a number of commercial products. Presently, he is an Adjunct Professor at Lehigh University.

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**D.R. Paul** holds the Ernest Cockrell, Sr. Chair in Engineering at the University of Texas at Austin. He received degrees in Chemical Engineering from North Carolina State University (B.S.) and the University of Wisconsin (M.S. and Ph.D.) and then worked at the Chemstrand Research Center. He joined the Department of Chemical Engineering at the University of Texas at Austin in 1967 where he served as department chairman during 1977–1985 and the Director of the Texas Materials Institute during 1998–2011. His research has involved various aspects of polymer blends, membranes for separation, drug delivery, packaging, processing, and nanocomposites. He has edited numerous books on blends and membranes and is listed by ISI as a Highly Cited Researcher. He has received awards

for teaching, research, and leadership from the University of Texas, ACS, AIChE, SPE, and the Council for Chemical Research. He has been designated a distinguished graduate of North Carolina State University and of the University of Wisconsin. He is a member of the National Academy of Engineering and the Mexican Academy of Sciences. He has served as Editor of Industrial and Engineering Chemistry Research, published by the American Chemical Society, since 1986.



**Benny Freeman** holds the Richard B. Curran Centennial Chair in Engineering at The University of Texas at Austin in the Department of Chemical Engineering. He completed his graduate training in Chemical Engineering at the University of California, Berkeley, earning a Ph.D. in 1988. In 1988 and 1989, he was a postdoctoral fellow at the Ecole Supérieure de Physique et de Chimie Industrielles de la Ville de Paris (ESPCI), Laboratoire Physico-Chimie Structurale et Macromoléculaire in Paris, France. Dr. Freeman's research is in polymer science and engineering and, more specifically, in mass transport of small molecules in solid polymers. His research group focuses on structure/property correlation development for desalination and vapor separation membrane materials, new materials for hydrogen separation and natural gas purification, nanocomposite membranes, reactive barrier packaging materials, and new materials for improving fouling resistance and permeation performance in liquid separation membranes. His research is described in more than 300 publications and 16 patents/patent applications. He has co-edited 5 books on these topics. He has won numerous awards, including the Society of Plastics Engineers International Award (2013), Roy W. Tess Award in Coatings from the PMSE Division of ACS (2012), the ACS Award in Applied Polymer Science (2009), the AIChE Institute Award for Excellence in Industrial Gases Technology (2008), and the Strategic Environmental Research and Development Program Project of the Year (2001). He is a Fellow of the AAAS, AIChE, ACS, and the PMSE Division of ACS. He has served as chair of the PMSE Division of the ACS, chair of the Gordon Research Conference on Membranes: Materials and Processes, President of the North American Membrane Society, and Chair of the Separations Division of AIChE.

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